## **Kentucky Horse Racing Commission**

Meeting Materials for Special Meeting February 27, 2024



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## MINUTES OF THE DECEMBER 11, 2023, KENTUCKY HORSE RACING COMMISSION SPECIAL MEETING

Date: December 11, 2023 at 10:00 a.m.

Place: Kentucky Horse Racing Commission Conference Room, 4047 Iron Works Parkway, Lexington, Kentucky 40511

Members Present: Commissioner Mike Berry, Commissioner Dr. Naveed Chowhan, Commissioner Dr. Tiffany Daniels, Commissioner William L.S. Landes, Commissioner Charles O'Connor, Commissioner Catherine Parke, Commissioner C. Frank Shoop, Commissioner James E. Worley; Commissioner Michael F. Dudgeon; Commissioner Lesley Howard

Ex-officio Members Present: Secretary Ray Perry; Sydney Montgomery, serving as proxy on behalf of Secretary Jeff Noel; Sarah Cronan, serving as proxy on behalf of Secretary Lindy Casebier

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
I. Call to Order and Roll Call	3 - 5	Executive Director Jamie Eads called the meeting of the Kentucky Horse Racing Commission (KHRC) to order. She explained that Chair Rabinowitz was involved in an auto accident and was unable to attend the meeting. Roll call was taken and a quorum was recognized.	No action taken.
II. New Business	5 - 42		
Tab 1: Approve minutes of	5 - 6	Executive Director Jamie Eads requested a motion from the	Executive
the October 31, 2023 Kentucky		Commission to approve minutes of the October 31, 2023,	Director Jamie
Horse Racing Commission		Kentucky Horse Racing Commission Meeting. Motion by	Eads called for a
Meeting		Commissioner Landes. Second by Commissioner O'Connor.	vote to approve minutes of the
		Deputy Executive Director Waqas Ahmed was assigned the	October 31,
		floor and explained that behind tab one were the minutes from	2023, Kentucky
		the October 31, 2023 meeting. KHRC staff recommended	Horse Racing
		approval.	Commission

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			Meeting.  Motion carried  with no  objections or  abstentions.
Tab 2: 2024 Meeting schedule and calendar	8 - 10	Executive Director Jamie Eads requested a motion from the Commission for approval of the 2024 meeting schedule and calendar. Motion by Commissioner Berry, second by Commissioner Parke.  Executive Administrative Secretary Mariah Dietz was assigned the floor and explained that the proposed schedule was for meetings to be bi-monthly on the third Tuesday of every other month, except for December, which was scheduled for the second Tuesday of that month. Approval was recommended by KHRC Staff.	Executive Director Jamie Eads called for a vote to approve the 2024 meeting schedule and calendar. Motion carried with no objections or abstentions.
Tab 3: Renewal of Churchill Downs Racetrack's license for a simulcast facility.	7 – 8	Executive Director Jamie Eads requested a motion from the Commission for renewal of Churchill Downs Racetrack's license for a simulcast facility. Motion by Commissioner Parke, second by Commissioner Daniels.  Deputy Executive Director Waqas Ahmed explained that Churchill Downs Racetrack LLC submitted a request to continue its operations as a simulcast facility. KHRC staff recommended approval.	Executive Director Jamie Eads called for a vote to approve the Renewal of Churchill Downs Racetrack's license for a simulcast facility. Motion

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			carried with no objections or abstentions.
Tab 4: Approval of the totalizer service license for 2024.	10 - 12	Executive Director Jamie Eads requested a motion from the Commission for approval of the totalizer service license for 2024. Motion by Commissioner Landes, second by Commissioner O'Connor.  Director Pari-Mutuel Wagering and Compliance Andy Conway was assigned the floor and explained that three renewal applications were received for totalizer services in 2024. All applications were reviewed, and documents were provided pursuant to 810 KAR Chapter 3. KHRC Staff recommended approval of the applicants as listed under agenda item 4.	Executive Director Jamie Eads called for a vote to approve the totalizer service license. Motion carried with no objections or abstentions.
Tab 5: Approval of advanced deposit account wagering licenses for 2024.	12 - 13	Executive Director Jamie Eads requested a motion from the Commission for approval of advanced deposit account wagering licenses for 2024. Motion by Commissioner Shoop, second by Commissioner O'Connor.  Director Pari-Mutuel Wagering and Compliance Andy Conway was assigned the floor and explained that eight applications were received for advanced deposit wagering licenses for 2024. All applications were filed before the deadline. Following review, the KHRC staff recommended approval of the	Executive Director Jamie Eads called for a vote to approve of advanced deposit account wagering licenses for 2024. Motion carried with no

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		applications from the entities provided behind tab 5 of the agenda.	objections or abstentions.
Tab 6: Churchill Downs Racetrack LLC's application for monies from Backside Improvement fund.	13 - 16	Executive Director Jamie Eads requested a motion from the Commission for approval of Churchill Downs Racetrack LLC's application for monies from Backside Improvement fund. Motion by Commissioner Worley, second by Commissioner Landes.  Director of Incentives and Development Drew Connors was assigned the floor and explained that the KHRC received two Backside Improvement Fund requests from Churchill Downs Racetrack, LLC for the replacement of plywood and sub-roofs on multiple barns that will total \$233,548. The second request was for the Kentucky HBPA trailer renovation on the backside of Churchill Downs, with the cost to be split with HBPA for \$14,800. Approval was recommended by KHRC staff.  Commissioner Landes inquired as to the account balance, which is \$287,748.27.	Executive Director Jamie Eads called for a vote to approve Churchill Downs Racetrack LLC's application for monies from Backside Improvement fund. Motion carried with no objections or abstentions.
Tab 7: Approval of KTDF requests pertaining to Turfway Park's 2023 holiday and winter/spring race meet.	16 - 18	Executive Director Jamie Eads requested a motion from the Commission to approve of KTDF requests pertaining to Turfway Park's 2023 holiday and winter/spring race meet. Motion by Commissioner Worley, second by Commissioner Shoop.  Director of Incentives and Development Drew Connors was	Executive Director Jamie Eads called for a vote to approve of KTDF requests
		assigned the floor and explained at two meetings of the	pertaining to

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		Kentucky Thoroughbred Development Fund Advisory Committee, they considered requests from Turfway Park. The requests were to help Turfway Park fund their KTDF purses while increasing the strength of the overall racing circuit. Kentucky Downs requested a transfer of \$1 million of KTDF earnings to Turfway Park at the conclusion of their race meet. Turfway Park requested the use of \$56,000 in KTDF earnings to promote, enhance, improve, and encourage the continued development of the Thoroughbred breeding industry in Kentucky.	Turfway Park's 2023 holiday and winter/spring race meet. Motion carried with no objections or abstentions.
		The KTDF Advisory Committee along with Kentucky HBPA and KTA-KTOB and KHRC staff recommended approval.	
Tab 8: Approval of the Kentucky Sire Stakes Commonwealth, Goldenrod and Mini-Series of 2024	18 - 20	Executive Director Jamie Eads requested a motion from the Commission to approve the Kentucky Sire Stakes Commonwealth, Goldenrod, and Mini-Series during 2024. Motion by Commissioner Landes, second by Commissioner O'Connor.	Executive Director Jamie Eads called for a vote to approve the Kentucky Sire Stakes
		Director of Incentives and Development Drew Connors was assigned the floor and explained that the Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund Advisory Panel recommended approval of the dates and conditions regarding the 2024 Sire Stakes. Oak Grove will increase purses by 1.1 million, Red Mile will increase their purses by \$1,020,000 and Cumberland Run will increase their purses by \$820,000.	Commonwealth, Goldenrod, and Mini-Series of 2024. Motion carried with no objections or abstentions.

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		The Advisory Committee also decided to extend the stallion bonus for the program to cover all races for 2- and 3-year-olds in the Kentucky Sire Stakes and through the Kentucky Proud Series. The cap will be \$2 million for payout for the year, making the additional expenditures between \$3.5 and \$5 million for 2024.  Approval is recommended by KHRC staff.	
Tab 9: Approval of the Kentucky Proud Series of 2024	20 - 22	Executive Director Jamie Eads requested a motion from the Commission to approve the Kentucky Proud Series for 2024. Motion by Commissioner Worley, second by Commissioner Shoop.  Director of Incentives and Development Drew Connors was assigned the floor and explained that the Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund Advisory Panel recommend continued support of the harness racing at Kentucky fairs through the Kentucky Proud Series. Approval is also recommended by KHRC staff. The Kentucky Proud Series will consist of seven events and a final with purses of \$1,500 per entry per division, with a minimum purse of \$6,000 per division for each event and a final purse of \$60,000. The proposed race dates and conditions for the 2024 Kentucky Proud Series is in the meeting materials and is recommended by KHRC Staff.	Executive Director Jamie Eads called for a vote to approve the Kentucky Proud Series of 2024. Motion carried with no objections or abstentions.

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Tab 10: Approval of the release of uncashed parimutuel tickets to the Kentucky Health and Welfare Fund.	22 – 27	Executive Director Jamie Eads requested a motion from the Commission to approve of the release of uncashed parimutuel tickets to the Kentucky Health and Welfare Fund. Motion by Commissioner Parke, second by Commissioner O'Connor.  Director of Incentives and Development Drew Connors was assigned the floor and explained the annual request from the Executive Director of the Kentucky Racing Health and Welfare Fund for the release of uncashed pari-mutuel tickets. This is a non-profit charitable corporation in its 45 <sup>th</sup> year of operation and it has provided millions in healthcare and retirement benefits to the backstretch workers. The total for unclaimed tickets as of July 1, 2023 is \$1,442,712.93. Staff have reviewed the materials submitted and recommend approval.	Executive Director Jamie Eads called for a vote to release of uncashed pari-mutuel tickets to the Kentucky Health and Welfare Fund. Motion carried with no objections or abstentions.
Tab 11: Approval of the release of the uncashed parimutuel tickets for the Kentucky Proud Series.	27 - 29	Executive Director Jamie Eads requested a motion from the Commission to approve of the release of the uncashed parimutuel tickets for the Kentucky Proud Series. Motion by Commissioner Shoop, second by Commissioner Berry.  Director of Incentives and Development Drew Connors was assigned the floor and explained that KRS 230.398 states that any uncashed pari-mutuel tickets collected by a licensee conducting harness racing shall be used for harness racing events at county fairs, now called the Kentucky Proud Series. The uncashed tickets this year totaled \$40,955.52. Staff	Executive Director Jamie Eads called for a vote to approve of the release of the uncashed pari-mutuel tickets for the Kentucky Proud Series. Motion carried with no

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		reviewed submitted materials and have approved the recommendation.	objections or abstentions.
Tab 12: Ratification of Executive Director Eads' approval of the amendments to the Kentucky Sports Wagering Catalog.	29 -32	Executive Director Jamie Eads requested a motion from the Commission ratify Executive Director Eads' approval of the amendments to the Kentucky Sports Wagering Catalog. Motion by Commissioner Worley, second by Commissioner Parke.  Director of Sports Wagering Hans Stokke was assigned the floor and explained that amendments to the Sports Wagering Catalog needed to be ratified. Additions to the catalog included Athletes Unlimited (basketball, lacrosse, softball, and volleyball); NCAA Volleyball; TGL (Tomorrow's Golf League); UFC Contender Series, MMA; and H2H Global Gaming League, (SIS NBA2k basketball). Executive Director Jamie Eads approved these amendments to the catalog and once ratified the catalog would be made available online.	Executive Director Jamie Eads called for a vote to ratify her approval of the amendments to the Kentucky Sports Wagering Catalog. Motion carried with no objections or abstentions.
Tab 13: Approval of sports wagering service provider licenses for 2024.	32 - 35	Executive Director Jamie Eads requested a motion from the Commission to approve sports wagering service provider licenses for 2024. Motion by Commissioner O'Connor, second by Commissioner Worley.  Director of Sports Wagering Hans Stokke was assigned the floor and explained that the service providers require an initial fee of \$50,000 and a renewal fee of \$10,000. Applicants must submit relevant documentation that outlines their organizational	Executive Director Jamie Eads called for a vote to approve sports wagering service provider licenses for 2024. Motion carried with no

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		structure, key personnel, technical requirements, and certification. Nine applicants were approved for temporary service provider license on August 22, 2023, and all nine have requested renewal of the licenses for 2024. KHRC Staff have reviewed these submissions and recommend approval of the service provider applications for 2024.	objections or abstentions.
Tab 14: Voluntary Implementation Agreement with the Horseracing Integrity and Safety Authority and the Horseracing Integrity and Welfare Unit for 2024.	35 - 42	Executive Director Jamie Eads requested a motion from the Commission to approve the 2024 Voluntary Implementation Agreement with the Horseracing Integrity and Safety Authority and the Horseracing Integrity and Welfare Unit . Motion by Commissioner Landes, second by Commissioner Parke.  General Counsel Jennifer Wolsing was assigned the floor and explained that the KHRC attorneys and the attorneys for HISA and HIWU have met and discussed the Voluntary Implementation Agreement. The Agreement and the any changes are included in the meeting materials. KHRC staff recommended approval of the agreement.	Executive Director Jamie Eads called for a vote to approve the 2024 Voluntary Implementation Agreement with the Horseracing Integrity and Safety Authority and the Horseracing Integrity and Welfare Unit. Motion carried with no objections or abstentions.

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Division Reports	42 - 51		No action taken.
a. Thoroughbred Rulings	42 - 43	Chief State Steward Barbara Borden stated that the rulings were in the meeting materials and that the running total for the year was included.	Informational purposes only.
b. Standardbred Rulings	43 - 44	State Racing Judge & Steward Patricia Cooksey presented the report and explained that all fines and suspensions have been paid except one.	
c. Veterinary Division	44 - 48	Chief Racing Veterinarian Dr. Nick Smith was assigned the floor and stated that there were two reports and the first one was Keeneland Fall 2023 Meet. There were 1,474 total starts, 399 post-race samples, and 39 veterinary scratches. Of the scratches, 30 were pre-race and 9 were on-track. For the ontrack scratches, 6 were soundness-related and 3 were due to gate or paddock injuries. 63 horses were placed on the vet's list. There were 12 ambulance runs and 1 racing fatality, which was musculoskeletal.  The second report was for Churchill Downs' Fall 2023 Meet, which had 1,742 starts with 529 post-race samples collected. 44 veterinary scratches occurred, of which 29 were pre-race and 15 were on-track. 76 horses were placed on the vet's list. There were 7 ambulance runs and 2 fatalities, both of which were musculoskeletal injuries.	
	48 - 49	The last document provided is the thoroughbred racing fatality chart total for 2007 to present.	

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
d. Pari-mutual Wagering & Compliance  e. Sports Wagering	49 - 51	Pari-Mutuel Wagering Division Director Andy Conway presented the report for the fiscal year for October and stated that Keeneland had a 6% increase in on-track wagers compared to last year. Simulcast wagering had a decrease during that time. Oak Grove and the Mint Bowling Green had significant increases in volume; wagers on historical races had a grown through October with over \$20 million in additional wagers for the month of the Derby.  Sports Wagering Director Hans Stokke presented the sports wagering market report that reflected data from September and October of the fiscal year. Highlights from the first report period include a total of handle of \$45,862,901 wagered in September 2023, generating \$321,965 in Kentucky sports wagering excise tax. October 2023 saw a total handle of \$294,950,219 and the Kentucky sports wagering excise tax collected was \$7,618,787.	
IV. Adjournment	52	With no other business, Executive Director Jamie Eads requested a motion to adjourn the December 11, 2023 meeting. Motion by Commissioner Berry, second by Commissioner Worley.  Meeting adjourned.	Executive Director Jamie Eads called for a vote to adjourn. Motion carried with no objections or abstentions.

**NOTE:** The meeting materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

WE TO SERVICE STATE OF THE SER

Andy Beshear GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR

## PUBLIC PROTECTION CABINET

# Kentucky Horse Racing Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039 Ray A. Perry SECRETARY

**DJ Wasson**DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz

CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Jennifer Wolsing, General Counsel

DATE February 21, 2024

RE: PROPOSED AMENDMENTS TO 810 KAR 7:040

The Kentucky Horse Racing Commission recommends the following proposed amendments to 810 KAR 7:040: Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund. This version of proposed amendments includes suggested edits from the group.

#### 1. Amend the definition of "Kentucky-bred" in Section 1 as follows:

- (4) "Kentucky-bred" means a standardbred horse that is:
- (a) Foaled out of a standardbred mare that is registered with the commission [and meets the requirements of this administrative regulation]; or
- (b) Sired by a standardbred stallion <u>standing</u> [<u>residing</u>] in Kentucky <u>that is registered with the</u> commission [<u>that meets the requirements of this administrative regulation</u>].

This proposed amendment removes the phrase "and meets the requirements of this administrative regulation." This allows 810 KAR 5:080: Kentucky Proud Series to use the term "Kentucky-bred" in its participation requirements for the Kentucky Proud Series, without requiring Kentucky Proud Series horses to conform to other requirements in 810 KAR 7:040, such as nomination and sustaining fees.

The amendment also removes the term "residing" and substitutes the correct term "standing."

Additionally, the amendment clarifies that the stallion has to be registered with the Commission to sire a Kentucky-bred foal. This matches the requirements for mares.

#### 2. Amend the definition of "Stallion residing in Kentucky" as follows:

(8) "Stallion <u>standing</u> [<u>residing</u>] in Kentucky" means a stallion physically located and standing in Kentucky for 180 days of the calendar year in which the stallion is registered <u>with the commission</u> that[,] does not service mares in any other state[, <u>jurisdiction</u>, <u>or country</u>] outside Kentucky during the calendar year in which the stallion is registered.

This proposed amendment removes the term "residing" and substitutes the correct term "standing."

The amendment also clarifies that the stallion must be registered with the Commission.

Additionally, the amendment clarifies the Panel and the Commission's intent for this regulation, by removing confusing language indicating that a stallion shall not service mares in any jurisdiction or country outside of Kentucky when the stallion is registered.

#### 3. Clarify a foal's eligibility requirements for the Sire Stakes as follows:

(1) In order to qualify for the Kentucky Sire Stakes, a foal shall be a two (2) or three (3) year old Kentucky-bred and shall maintain eligibility for the KSDF and KSBIF as established in Sections 16, 19, and 20 of this regulation.

This proposed amendment clarifies that a foal shall maintain its eligibility, as established in Sections 16, 19, and 20 of 810 KAR 7:040.

### 4. Clarify the Panel and the Commission's intent for participating stallions as follows:

Notwithstanding the foregoing, semen from a stallion standing in Kentucky may be shipped to mares in any jurisdiction outside of Kentucky at any time during the calendar year in which the stallion is registered. Additionally, a registered stallion may shuttle to countries in the Southern Hemisphere to service mares, provided the stallion meets all other standing requirements. But, a registered stallion shall not service mares in any country, other than the United States or countries in the Southern Hemisphere by way of shuttle. Also, a registered stallion shall not shuttle to any state or jurisdiction in North America, other than Kentucky, in order to service mares. The changes in this subsection are effective starting with the 2020 breeding season and in subsequent years.

This proposed amendment clarifies the intent of the Panel and the Commission by stating that a stallion standing in Kentucky may ship semen to mares in any jurisdiction outside of Kentucky during the calendar year in which he is registered.

Additionally, the amendment states that a stallion may shuttle to any countries in the Southern Hemisphere to service mares, provided the stallion meets all other standing requirements. However, the amendment does not allow participating stallions to service mares in any other country, other than the United States or countries in the Southern Hemisphere, by way of shuttle. Furthermore, stallions shall not shuttle to any location in North America, other than Kentucky, in order to service mares.

Of note, this provision is retroactive to the 2020 breeding season, in order to ensure that the Standardbred incentive program is consistent with other breeds and to bring the regulation's language into conformity with KHRC practices.

The KHRC staff	recommends the above proposed amendments.
COMMISSION A	<u>CTION</u>
	Approve
	Defer

Deny

- 1 PUBLIC PROTECTION CABINET
- 2 KENTUCKY HORSE RACING COMMISSION
- 3 (Amendment)
- 4 810 KAR 7:040.
- 5 Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders'
- 6 Incentive Fund.
- 7 RELATES TO: KRS 230.215, 230.260, 230.770, 230.802
- 8 STATUTORY AUTHORITY: KRS 230.215(2), 230.260(8), 230.770(1), (6), (7),
- 9 230.802(2)(b)
- 10 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(8)
- 11 authorize the Kentucky Horse Racing Commission to promulgate administrative
- 12 regulations prescribing the conditions under which horse racing shall be conducted in
- 13 Kentucky and to fix and regulate the minimum amount of purses, stakes, or awards to be
- 14 offered for the conduct of any horse race meeting. KRS 230.770(1) establishes the
- 15 Kentucky standardbred development fund. KRS 230.770(6) and (7) authorize the
- 16 commission to promulgate administrative regulations establishing the eligibility of horses
- 17 participating in races for which a portion of the purse is provided by the Kentucky
- 18 Standardbred Development Fund and the conditions, class, and quality of the races. KRS
- 19 230.802(1) establishes the Kentucky standardbred breeders' incentive fund. KRS
- 20 230.802(2)(b) requires the commission to promulgate administrative regulations

- 1 establishing the conditions and criteria for the distribution of moneys from the fund. This
- 2 administrative regulation establishes eligibility standards, administrative practices to
- 3 enforce the standards, criteria for the distribution of moneys from these funds, mandatory
- 4 criteria for races, and the administration of purses and payments in these races.
- 5 Section 1. Definitions.
- 6 (1) "Commission" means the Kentucky Horse Racing Commission.
- 7 (2) "Consolation" means the race following a series of preliminary legs for the next
- 8 preferred horses, as established in Section 6 of this administrative regulation, which did
- 9 not qualify for the finals of each racing division of the Kentucky Sire Stakes program.
- 10 (3) "Final" means the race following a series of preliminary legs established to determine
- the divisional champion of each racing division of the Sire Stakes Program.
- 12 (4) "Kentucky-bred" means a standardbred horse that is:
- 13 (a) Foaled out of a standardbred mare that is registered with the commission [and
- 14 meets the requirements of this administrative regulation]; or
- 15 (b) Sired by a standardbred stallion standing [residing] in Kentucky that is registered
- with the commission [that meets the requirements of this administrative regulation].
- 17 (5) "Kentucky Sire Stakes" means the series of races held annually in Kentucky for two
- 18 (2) and three (3) year old Kentucky-bred fillies and colts, both trotting and pacing, and
- 19 funded in whole or in part by the Kentucky Standardbred Development Fund or the
- 20 Kentucky Standardbred Breeders' Incentive Fund.
- 21 (6) "KSBIF" means the Kentucky Standardbred Breeders' Incentive Fund as established
- 22 in KRS 230.802.

- 1 (7) "KSDF" means the Kentucky Standardbred Development Fund as established in
- 2 KRS 230.770.
- 3 (8) "Stallion standing [residing] in Kentucky" means a stallion physically located and
- 4 standing in Kentucky for 180 days of the calendar year in which the stallion is registered
- 5 with the commission that[-] does not service mares in any other state[-, jurisdiction, or
- 6 country] outside Kentucky during the calendar year in which the stallion is registered.
- 7 (9) "USTA" means the United States Trotting Association.
- 8 Section 2. Domicile Requirements.
- 9 (1)
- 10 (a) An owner, lessee, stallion manager, or syndicate manager of a standardbred stallion
- residing in Kentucky who desires to use the stallion to breed and to have his progeny
- 12 eligible for the KSDF or KSBIF shall register the stallion with the commission by
- 13 December 31st of the year of conception of the horse sought to be eligible by
- 14 submitting a completed "KSDF/KSBIF Stallion Certificate of Eligibility Form", KHRC 7-
- 15 040-2.
- (b) Standardbred stallions not residing in Kentucky are not required to register with the
- 17 commission. The progeny of a standardbred stallion not residing in Kentucky is not
- 18 eligible for the KSDF or KSBIF unless the progeny is that of a standardbred mare
- 19 registered under and meeting the requirements of this administrative regulation.
- 20 (c) All standardbred stallions shall be registered with the USTA, Standardbred Canada,
- 21 or other appropriate international harness racing governing agency whether residing
- in Kentucky or not.
- 23 (2)

- 1 (a) An owner, lessee, manager, or syndicate manager of a standardbred mare who
- desires to use the mare for breeding purposes and to have her progeny eligible for the
- 3 KSDF or KSBIF shall register the mare by December 31st of the year of conception of
- 4 the horse sought to be eligible by submitting a completed "KSDF/KSBIF Mare
- 5 Certificate of Eligibility Form", KHRC 7-040-3.
- 6 (b) To be eligible for registration, the mare shall:
- 7 1. Be registered with the USTA, Standardbred Canada, or other appropriate
- 8 international harness racing governing agency; and
- 9 2. Have resided in Kentucky for a period of not less than 180 days in the calendar
- year of conception of the horse sought to be eligible.
- 11 (c) If a horse is conceived by embryo and ovum transplant (ET), both the donor mare
- 12 and recipient mare shall be registered during the year of conception, and the recipient
- 13 mare shall reside in Kentucky for a period of not less than 180 days in the calendar
- 14 year of conception of the horse sought to be eligible.
- 15 (3) Registrations shall be received by the commission by the close of business or
- 16 postmarked on the deadline established in this section in order to be eligible.
- 17 (4) An owner, lessee, stallion manager, manager, or syndicate manager of a stallion or
- mare eligible for the KSDF and KSBIF shall be responsible for:
- 19 (a) The registrations and records of the farm where the stallion stands or the mare
- 20 resides; and
- (b) Complying with all applicable requirements of this administrative regulation.
- 22 Section 3. Eligibility.

- 1 (1) In order to qualify for the Kentucky Sire Stakes, a foal shall be a two (2) or three (3)
- 2 year old Kentucky-bred and shall maintain eligibility for the KSDF and KSBIF as
- 3 <u>established in Sections 16, 19, and 20 of this regulation</u>.
- 4 (2) Notwithstanding the foregoing, semen from a stallion standing in Kentucky may be
- 5 shipped to mares in any jurisdiction outside of Kentucky at any time during the calendar
- 6 year in which the stallion is registered. Additionally, a registered stallion may shuttle to
- 7 <u>countries in the Southern Hemisphere to service mares, provided the stallion meets all</u>
- 8 other standing requirements. But, a registered stallion shall not service mares in any
- 9 country, other than the United States or countries in the Southern Hemisphere by way
- of shuttle. Also, a registered stallion shall not shuttle to any state or jurisdiction in North
- America, other than Kentucky, in order to service mares. The changes in this subsection
- are effective starting with the 2020 breeding season and in subsequent years.
- 13 (3)
- 14 (a) Except as provided by paragraph (b) of this subsection, only a foal that is the first
- born to a mare (donor or recipient) in each calendar year produced by any method,
- 16 including embryo and ovum transplant (ET), shall be eligible for the Kentucky Sire
- 17 Stakes.
- (b) Natural birth twins produced from the same pregnancy and foaling by the natural,
- 19 nonrecipient mare shall also be eligible.
- 20 (3) Any future offspring of foals ineligible for racing under this section shall be ineligible
- 21 for the Kentucky Sire Stakes.
- 22 Section 4. Distance. Each Kentucky Sire Stakes race shall be a one (1) mile dash.

- 1 Section 5. Post Positions. Post positions for the final, consolation, and all preliminary legs
- 2 of the Kentucky Sire Stakes race shall be an open draw with two (2) horses drawn for the
- 3 final and consolation races that are designated as "also eligibles" under Section 6(7) of
- 4 this administrative regulation.
- 5 Section 6. Eligibility for the Final and Consolation Races.
- 6 (1) Beginning with the 2018 Kentucky Sire Stakes races, consolation races may be
- 7 eligible for funding.
- 8 (2) A horse that does not start in at least one (1) of the preliminary legs scheduled shall
- 9 not be eligible for the final or consolation.
- 10 (a) All horses earning points may enter in the final with:
- 1. The top eight (8) point earners, if the horses raced on a half (1/2) mile track or five-
- eighths (5/8) mile track; or
- 13 2. Top ten (10) point earners, if the horses raced on a one (1) mile track, to be
- 14 declared eligible.
- 15 (b) On a half (1/2) mile track or five-eighths (5/8) mile track, the top eight (8) point
- earners shall not be eligible for the consolation. On a one (1) mile track, the top ten
- 17 (10) point earners shall not be eligible for the consolation.
- 18 (c) A horse that is eligible to race in the final shall only be eligible to race in the final,
- unless the horse is qualified as an also eligible.
- 20 (d) A horse that is eligible to race in the consolation shall only be eligible to race in the
- consolation, unless qualified in the final as an also eligible.
- 22 (e) A horse that scratches from the final shall not race in the consolation.

- 1 (f) A horse that has qualified for the final or consolation shall remain eligible for the final
- 2 or consolation.
- 3 (g) At least seven (7) eligible horses shall be declared for a consolation race to be
- 4 contested.
- 5 (h) A horse that is automatically eligible to race in the final race shall not start in the
- 6 consolation race.
- 7 (3) A horse that enters a preliminary leg that does not fill and is not raced shall receive
- 8 credit toward fulfilling the minimum starting requirements established in subsection (2)
- 9 of this section and toward determining tiebreaker status as established in subsection
- 10 (6)(b) of this section.
- 11 (4) A horse that has been scratched from an event that is raced shall not receive credit
- toward meeting the starting requirements established in subsection (2) of this section.
- 13 (5) A horse, in order to start in the final or consolation, shall be declared at the host track
- 14 where the race is being held on or before the time posted on the track condition sheet.
- 15 (6)
- (a) If the number of horses eligible and declared into any final or consolation event
- 17 exceeds the maximum number specified by the KSDF or KSBIF or the number of
- 18 positions on the starting gate, the following point system as applied to KSDF
- 19 preliminary legs shall determine preference for the final:
- 20 1. 1st place fifty (50) points;
- 2. 2nd place twenty-five (25) points;
- 3. 3rd place twelve (12) points;
- 4. 4th place eight (8) points;

- 1 5. 5th place five (5) points;
- 2 6. 6th place and all other starters one (1) point; and
- 7. A horse finishing in a dead heat for any position in a preliminary leg shall be
- 4 awarded an equal share of the total number of points awarded for that position.
- 5 (b) If there is a tie among horses after the awarding of points pursuant to paragraph
- 6 (a) of this subsection, there shall be a drawing by lot among those horses tied in total
- 7 points to determine which horses shall be included in the final field.
- 8 (c) If a horse that is qualified for the final or consolation is not declared, the horse with
- 9 the next highest point total, pursuant to paragraph (a) of this subsection, that is
- declared shall be eligible for the final or consolation.
- 11 (7) Also eligibles.
- 12 (a) The two (2) horses accumulating the highest point total, pursuant to subsection (6)
- 13 of this section, that are declared into the final or consolation, but do not qualify for the
- final or consolation, shall be designated "also eligible". The horse with the highest point
- total from the preliminary legs shall be designated as the "first also eligible" and the
- 16 horse with the next highest point total shall be designated as the "second also eligible".
- 17 (b) A horse that is scratched in the final or consolation shall be replaced by the "first
- also eligible" and then the "second also eligible", if necessary.
- 1. If post positions have not been drawn at the time of the scratch, the "also eligible"
- shall take the place of the horse that has been scratched and shall participate in the
- 21 normal draw.
- 22 2. If post positions have been drawn at the time of the scratch, the "also eligible" shall
- assume the post position of the horse that has been scratched.

- 3. A horse shall not be moved into the final or consolation as a replacement after the
- 2 official scratch time deadline that is in effect at the host track.
- 3 Section 7. Final Order of Finish. The judges' "official order of finish" shall be used in
- 4 determining eligibility to the final exclusive of all appeals yet to be decided at the time of
- 5 closing of the entry box for final events.
- 6 Section 8. Detention. All starters shall be subject to the detention policy of the racetrack.
- 7 Section 9. Number of Starters.
- 8 (1) There shall not be more than:
- 9 (a) Ten (10) starters in each final race on a one (1) mile track; and
- 10 (b) Eight (8) horses on a one-half (1/2) or five-eighths (5/8) mile track.
- 11 (2) All horses shall be on the gate for the final race.
- 12 Section 10. Declaration Fees.
- 13 (1) For each horse declared to race in a preliminary leg, there shall be a declaration fee
- of one-half of one percent (0.5%) of the total purses distributed or to be distributed for
- 15 each race in which the horse is declared.
- 16 (2) The declaration fee shall be due to the racing association at the time of declaration
- and payable one (1) hour prior to post time of the race.
- 18 (3) Purses for the KSDF and KSBIF shall consist of money from:
- 19 (a) Nominating fees;
- 20 (b) Sustaining fees;
- (c) Declaration fees; and
- 22 (d) Added money from the Commonwealth of Kentucky.
- 23 (4)

- 1 (a) Distribution of revenue for Kentucky Sire Stakes races shall be reviewed and
- 2 addressed annually, not later than December 15 of each calendar year, by an advisory
- 3 panel appointed by the Chairman of the commission and consisting of one (1)
- 4 representative from each of the following:
- 5 1. The commission, who shall serve as the chairman of the panel;
- 6 2. The Kentucky Harness Horseman's Association;
- 7 3. The Kentucky Harness Association;
- 4. The host racetrack; and
- 9 5. One (1) participant in the fund nominated by the chairman of the commission from
- a group of up to four (4) nominees recommended by each of the above four (4)
- members having one (1) nomination each.
- 12 (b) Each member of the panel shall serve from July 1 through June 30 of the following
- 13 year and shall be a resident of Kentucky.
- 14 (c) The final determination regarding distribution of revenue shall be made by the
- 15 commission.
- 16 Section 11. Divisions of Preliminary Legs.
- 17 (1) The total number of horses entered shall determine the number of divisions of the
- 18 preliminary legs that shall be required.
- 19 (2) Preliminary legs shall be split into divisions as follows:
- 20 (a) One (1) mile track:
- 21 1. Twelve (12) horses or less entered one (1) division race.
- 22 2. Thirteen (13) to twenty (20) horses entered two (2) divisions.
- 3. Twenty-one (21) to thirty (30) horses entered three (3) divisions.

- 4. Thirty-one (31) to forty (40) horses entered four (4) divisions.
- 5. Forty-one (41) to fifty (50) horses entered five (5) divisions.
- 3 6. Fifty-one (51) to sixty (60) horses entered six (6) divisions.
- 4 (b) One-half (1/2) and five-eighths (5/8) mile track:
- 5 1. Nine (9) to ten (10) horses entered one (1) division.
- 6 2. Eleven (11) to sixteen (16) horses entered two (2) divisions.
- 3. Seventeen (17) to twenty-four (24) horses entered three (3) divisions.
- 4. Twenty-five (25) to thirty-two (32) horses entered four (4) divisions.
- 9 5. Thirty-three (33) to forty (40) horses entered five (5) divisions.
- 10 6. Forty-one (41) to forty-eight (48) horses entered six (6) divisions.
- 11 (c) If the need exists for seven (7) or more divisions, eligibility to the final shall be
- determined in a manner consistent with the published conditions.
- 13 Section 12. Gait.
- 14 (1) Gait shall be specified by the owner of the horse on or before the first two (2) year
- 15 old payment.
- 16 (2)
- 17 (a) Change of gait may be made at the time of declaration at the track.
- 18 (b) Sustaining payments shall remain in the funds of the original gait specified.
- 19 (3) A horse shall not race on both gaits in the same year.
- 20 Section 13. Divisions. A race shall be raced in separate divisions as follows:
- 21 (1) Colt, gelding, ridgeling divisions; and
- 22 (2) Filly divisions.
- 23 Section 14. Purse Distributions.

- 1 (1) The purses awarded for all races shall be distributed on the following percentage
- 2 basis:
- 3 (a) Five (5) starters fifty (50) percent, twenty-five (25) percent, twelve (12) percent,
- 4 eight (8) percent, and five (5) percent;
- 5 (b) Four (4) starters fifty (50) percent, twenty-five (25) percent, twelve (12) percent,
- eight (8) percent, and the remaining five (5) percent reverts back to the fund;
- 7 (c) Three (3) starters fifty (50) percent, twenty-five (25) percent, twelve (12) percent,
- and the remaining thirteen (13) percent reverts back to the fund;
- 9 (d) Two (2) starters fifty (50) percent, and twenty-five (25) percent, and the remaining
- twenty-five (25) percent reverts back to the fund; and
- 11 (e) One (1) starter fifty (50) percent, and the remaining fifty (50) percent reverts back
- 12 to the fund.
- 13 (2) The percentage basis established by subsection (1) of this section shall apply at
- each of the Kentucky pari-mutuel tracks.
- 15 Section 15. Cancellations.
- 16 (1) If circumstances prevent the racing of an event and the race is not drawn, all funds
- 17 allocated to the division in each of the preliminary legs or the final shall be refunded and
- prorated to the owners of the horses eligible at the time of cancellation.
- 19 (2) The eligible horses shall include only horses that made the payments required by
- 20 Section 20 of this administrative regulation.
- 21 (3) The added monies provided by the Commonwealth of Kentucky for use in the KSDF
- 22 and KSBIF shall be disbursed by December 15 of each calendar year in accordance

- 1 with the formula created by the panel as set out in Section 10(4) of this administrative
- 2 regulation.
- 3 Section 16. Qualifying.
- 4 (1) Any horse declared into a Kentucky Sires Stakes race shall:
- 5 (a) Show at least one (1) charted race line with no breaks within forty-five (45) days
- 6 prior to the day of the race; and
- 7 (b) Have satisfied the following time requirements:
- 8 1. On a track larger than five-eighths (5/8) of a mile:
- a. A two (2) year old trotter shall have been timed in two minutes and six seconds
- 10 (2:06) or faster;
- 11 b. A two (2) year old pacer shall have been timed in two minutes and four seconds
- 12 (2:04) or faster;
- 13 c. A three (3) year old trotter shall have been timed in two minutes and two seconds
- 14 (2:02) or faster; and
- 15 d. A three (3) year old pacer shall have been timed in two minutes and zero seconds
- 16 (2:00) or faster.
- 17 2. On a five-eighths (5/8) mile track:
- 18 a. A two (2) year old trotter shall have been timed in two minutes and seven seconds
- 19 (2:07) or faster;
- 20 b. A two (2) year old pacer shall have been timed in two minutes and five seconds
- 21 (2:05) or faster;
- 22 c. A three (3) year old trotter shall have been timed in two minutes and three seconds
- 23 (2:03) or faster; and

- d. A three (3) year old pacer shall have been timed in two minutes and one second
- 2 (2:01) or faster.
- 3 3. On a one-half (1/2) mile track:
- a. A two (2) year old trotter shall have been timed in two minutes and eight seconds
- 5 (2:08) or faster;
- 6 b. A two (2) year old pacer shall have been timed in two minutes and six seconds
- 7 (2:06) or faster;
- 8 c. A three (3) year old trotter shall have been timed in two minutes and four seconds
- 9 (2:04) or faster; and
- d. A three (3) year old pacer shall have been timed in two minutes and two seconds
- 11 (2:02) or faster.
- 12 (2) A horse shall be scratched from a race if the person declaring the horse has failed to
- advise the race secretary of a start that is not reflected on the electronic eligibilities.
- 14 (3) The requirements of this section shall apply both to wagering and nonwagering
- 15 races.
- 16 Section 17. Purse Allocations.
- 17 (1) At a scheduled meeting of the commission, the commission shall:
- (a) Establish the distribution of funds for stakes races for the upcoming year; and
- 19 (b) Authorize expenditures at a time it designates.
- 20 (2) The racing dates for KSDF and KSBIF stakes shall be issued after the track has
- 21 established its race dates.
- 22 Section 18. Promotions. The KSDF or KSBIF may provide a trophy for each event, and
- 23 the program that provides the trophy shall purchase the trophy out of its fund.

- 1 Section 19. Nomination Fees.
- 2 (1) After payment of the mare or stallion nomination fee, foals shall remain eligible for
- 3 events each year by making the required sustaining and declaration payments for that
- 4 year, as set forth in Section 20. The "KSDF/KSBIF Kentucky Sire Stakes (KYSS)
- 5 Nomination Form," KHRC 7-040-1, shall be filed with the commission along with the
- 6 nomination and sustaining fees.
- 7 (2) After payment of the yearling nomination fee, foals shall remain eligible for events
- 8 each year by making the required sustaining and declaration payments for that year, as
- 9 set forth in Section 20. The "KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination
- 10 Form," KHRC 7-040-1, shall be filed with the commission along with the nomination and
- 11 sustaining fees.
- 12 (3) The two (2) year old March 15 payment shall be made to remain eligible to the KSDF
- and KSBIF as a three (3) year old without penalty, except as provided in Section 20.
- 14 (4) Nomination and sustaining payments shall be made to the KSDF and KSBIF in U.S.
- 15 funds by a money order or a check drawn on a U.S. bank account.
- 16 Section 20. Nomination Schedule.
- 17 (1) Mares or Stallions shall be nominated by December 31 of the year of conception of
- the horse sought to be eligible by submitting a completed "KSDF/KSBF Stallion
- 19 Certificate of Eligibility Form," KHRC 7-040-2, or "KSDF/KSBF Mare Certificate of
- 20 Eligibility Form," KHRC 7-040-3. The nomination fee shall be set forth in KHRC 7-040-2
- or 7-040-3, except as provided in subsection (4) of this section.
- 22 (2) For yearlings sired by a standardbred stallion or mare that resided in Kentucky during
- the year of conception for a period no less than 180 days and registered with the KSDF

- and KSBIF, the nomination fee shall be set forth in the "KSDF/KSBIF Kentucky Sire
- 2 Stakes (KYSS) Nomination Form," KHRC 7-040-1, except as provided in subsection (5)
- 3 of this section.
- 4 (3) Nominated horses shall be registered with the USTA, Standardbred Canada, or other
- 5 appropriate international harness racing governing agency and shall be properly
- 6 identified to the satisfaction of the commission at the time of the nomination.
- 7 Identification shall be determined by the official registration maintained by the USTA,
- 8 Standardbred Canada, or other appropriate international harness racing governing
- 9 agency.
- 10 (4) If a mare is not nominated to the KSDF and KSBIF by December 31 of the year of
- 11 conception, the mare shall be nominated by submitting a KSDF/KSBIF Application for
- 12 Late Mare Registration, KHRC 7-040-4, and paying a penalty as set forth in KHRC 7-
- 13 040-4.
- 14 (5) If a horse sired by a standardbred stallion or mare that resided in Kentucky during
- the year of conception, for a period no less than 180 days, and registered with the KSDF
- and KSBIF is not nominated during its yearling year, the horse may be nominated by
- 17 March 15 of its two (2) year old year by submitting the "KSDF/KSBIF Kentucky Sire
- 18 Stakes (KYSS) Nomination Form," KHRC 7-040-1, and paying a penalty as set forth in
- 19 KHRC 7-040-1.
- 20 (6) For three (3) year old horses that fail to make the mandatory March 15 two (2) year
- 21 old sustaining payment, the horse may be nominated by February 15 of its three (3) year
- old year by submitting a KHRC 7-040-1 and paying a penalty as set forth in KHRC 7-
- 23 040-1.

- 1 Section 21. Early Closing Events. The commission may provide for separate early closing
- 2 events for Kentucky-bred horses.
- 3 Section 22. Stallion and Breeder Awards. The commission may provide for stallion and
- 4 breeder awards for Kentucky-bred horses.
- 5 Section 23. Incorporation by Reference.
- 6 (1) The following material is incorporated by reference:
- 7 (a) "KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form", KHRC 7-040-1,
- 8 2023;
- 9 (b) "KSDF/KSBIF Stallion Certificate of Eligibility Form", KHRC 7-040-2, 2023;
- 10 (c) "KSDF/KSBIF Mare Certificate of Eligibility Form", KHRC 7-040-3, 2023; and
- 11 (d) "KSDF/KSBIF Application for Late Mare Registration", KHRC 7-040-4, 2023.
- 12 (2) This material may be inspected, copied, or obtained, subject to applicable copyright
- 13 law, at the Kentucky Horse Racing Commission, 4063 Iron Works Parkway, Building B,
- Lexington, Kentucky 40511, Monday through Friday, 8 a.m. to 4:30 p.m. This material is
- also available at https://khrc.ky.gov/new\_docs.aspx?cat=32.
- 16 (45 Ky.R. 1984; 3158; eff. 5-31-2019; 46 Ky.R. 2537; 47 Ky.R. 79; eff. 7-30-2020; 49 Ky.R.
- 17 2011; 50 Ky.R. 45; eff. 10-3-2023.)

810 KAR 7:040	
READ AND APPROVED	
Jonathan Rabinowitz Chair, Kentucky Horse Racing Commission	Date
Ray Perry Secretary, Public Protection Cabinet	Date

#### PUBLIC HEARING AND PUBLIC COMMENT PERIOD

A public hearing on this administrative regulation shall be held on May 21, 2024, at 9:00 a.m. Eastern, at the Kentucky Horse Racing Commission, 4047 Iron Works Parkway, Lexington, KY 40511. Individuals interested in being heard at this hearing shall notify this agency in writing by five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing was received by that date, the hearing may be cancelled. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through 11:59 p.m. on May 31, 2024. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact Person: Jennifer Wolsing

Title: General Counsel

Address: Kentucky Horse Racing Commission, 4047 Iron Works Parkway,

Lexington, Kentucky 40511 Phone: (859) 246-2040 Fax: (859) 246-2039

Email: jennifer.wolsing@ky.gov

#### REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

810 KAR 7:040

Contact Person: Jennifer Wolsing

Phone: (859) 246-2040

Email: jennifer.wolsing@ky.gov

- (1) Provide a brief summary of:
  - (a) What this administrative regulation does:
  - (b) The necessity of this administrative regulation:
- (c) How this administrative regulation conforms to the content of the authorizing statutes:
- (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes:
- (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:
  - (a) How the amendment will change this existing administrative regulation:
  - (b) The necessity of the amendment to this administrative regulation:
  - (c) How the amendment conforms to the content of the authorizing statutes:
  - (d) How the amendment will assist in the effective administration of the statutes:
- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation:
- (4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:
  - (a) List the actions that each of the regulated entities identified in question
  - (3) will have to take to comply with this administrative regulation or amendment:
  - (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3):
  - (c) As a result of compliance, what benefits will accrue to the entities I dentified in question (3):
- (5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:
  - (a) Initially:
  - (b) On a continuing basis:
- (6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation:
  - (7) Provide an assessment of whether an increase in fees or funding will be

necessary to implement this administrative regulation, if new, or by the change if it is an amendment:

- (8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees:
  - (9) TIERING: Is tiering applied? (Explain why or why not)

#### FISCAL NOTE

810 KAR 7:040

Contact Person: Jennifer Wolsing

Phone: (859) 246-2040

Email: jennifer.wolsing@ky.gov

- (1) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation?
- (2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation.
- (3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.
- (a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year?
- (b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years?
  - (c) How much will it cost to administer this program for the first year?
  - (d) How much will it cost to administer this program for subsequent years?

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-): Expenditures (+/-): Other Explanation:

- (4) Estimate the effect of this administrative regulation on the expenditures and cost savings of regulated entities for the first full year the administrative regulation is to be in effect.
- (a) How much cost savings will this administrative regulation generate for the regulated entities for the first year?
- (b) How much cost savings will this administrative regulation generate for the regulated entities for subsequent years?
  - (c) How much will it cost the regulated entities for the first year?
  - (d) How much will it cost the regulated entities for subsequent years? Note: If specific dollar estimates cannot be determined, provide a brief

narrative to explain the fiscal impact of the administrative regulation.

Cost Savings (+/-): Expenditures (+/-): Other Explanation: (5) Explain whether this administrative regulation will have a major economic impact, as defined below. "Major economic impact" means an overall negative or adverse economic impact from an administrative regulation of five hundred thousand dollars (\$500,000) or more on state or local government or regulated entities, in aggregate, as determined by the promulgating administrative bodies. [KRS 13A.010(13)]

Andy Beshear GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR



PUBLIC PROTECTION CABINET

Kentucky Horse Racing Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039 Ray A. Perry SECRETARY

**DJ Wasson**DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Jennifer Wolsing, General Counsel

DATE February 21, 2024

LEXINGTON TROTS BREEDERS ASSOCIATION, LLC'S REQUEST TO AMEND SECTION R OF ITS

RE: 2024 APPLICATION TO CONDUCT LIVE HORSE RACING, SIMULCASTING, AND PARI-MUTUEL

WAGERING

On February 1, 2024, Lexington Trots Breeders Association, LLC ("Red Mile") requested approval for a change in post time for nine (9) of its 2024 Sunday live racing cards. The Red Mile is currently approved for a post time of 1:00 p.m., but they want to change the post time to 5:05 p.m. The affected dates are as follows: July 21, July 28, August 4, August 11, August 18, August 25, September 1, September 8, and September 15. The closing Sunday, October 6, will remain as a 1:00 p.m. first post.

The Red Mile believes that the later post time will grow track attendance and handle, based on a Sunday evening post during 2023. Additionally, a later post time can better showcase the Red Mile's racing product and the Kentucky Sire Stakes program to a wider audience with less competition for its export signal.

This change has been approved by the Kentucky Harness Horsemen's Association.

The KHRC staff recommends approval.

COMMISSION A	<u>ACTION</u>
	Approve
	Defer
	Deny



#### Lexington Trots Breeders Association, Ilc

February 1, 2024

Ms. Jamie Eads, Executive Director Kentucky Horse Racing Commission 4063 Iron Works Parkway, Building B Lexington, Kentucky 40511

Director Eads,

The Red Mile respectfully requests approval for a change in Post Time for our 2024 Sunday live racing cards. We are requesting a post time change from 1:00pm to 5:05pm. The dates affected are July 21, July 28, August 4, August 11, August 18, August 25, September 1, September 8, and September 15. Our closing Sunday, October 6, will remain a 1:00pm first post.

The Red Mile had one Sunday evening post in 2023, and it was very well received in terms of attendance and handle, and we would like to try to expand on that success in 2024. We feel we have a real opportunity to grow on track attendance and handle with a later time slot. We also feel a later post time can showcase our racing product and the lucrative Kentucky Sires Stakes program to a wider audience overall with less competition for our export signal.

Thank you for your consideration of this matter.

Sincerely

Gabe Prewitt

VP of Racing Operations

Cc: Joe Costa Shannon Cobb Jennifer Wolsing Travers Manley

Lexington Trots Breeders Assn., Ilc \* 1101 Winbak Way \* Lexington, KY 40504 Phone: (859)255-0752 \* Fax: (859)231-0217



# PO Box 8506 Lexington, KY 40533

February 05, 2024	
To Whom It May Concern:	
The Kentucky Harness Horsemen's Association appelanges.	proves The Red Mile's request for Post Time
	C'array la
	Sincerely,
	/s/ Amanda Stephens
	Amanda Stephens
	Executive Secretary



**Andy Beshear** GOVERNOR

Jacqueline Coleman LIEUTENANT GOVERNOR

#### PUBLIC PROTECTION CABINET **Kentucky Horse Racing** Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040

Fax: (859) 246-2039

Ray A. Perry SECRETARY

**DJ Wasson DEPUTY SECRETARY** 

**Jamie Eads** EXECUTIVE DIRECTOR

**Jonathan Rabinowitz** CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Drew Conners, Incentives & Development Director

DATE February 21, 2024

KQHPAA DEVELOPMENT FUND REQUEST PERTAINING TO REVOLUTIONARY RACING, LLC'S RE:

("SANDY'S RACING & GAMING") 2024 RACE MEET

On February 7, 2024, the KQHPAA Advisory Committee met and considered the following request from Revolutionary Racing Kentucky, LLC d/b/a Sandy's Racing & Gaming regarding their 2024 race meet at The Red Mile.

Sandy's race meet will consist of six race days, beginning Sunday, March 17 through Tuesday, March 24, 2024.

Pursuant to 810 KAR 7:060 Section 6, Sandy's is proposing to use of \$60,000 in KQHPAA funds to supplement the purses of six races at their upcoming race meet. These supplements will add \$10,000 per race, paying first through fifth places to eligible Kentucky Quarter Horses who were bred and foaled in Kentucky.

These projections are based on a KQHPAA earned balance of \$720,634 at the end of December 31, 2023, with HHR wagering at Sandy's being the primary driver.

The KQHPAA Advisory Committee along with the Kentucky Quarter Horse Racing Association recommend approval.

KHRC staff recommends approval.

COMMISSION A	<u>ACTION</u>
	Approve
	Defer
	Deny

10775 U.S. Route 60 Ashland, Kentucky 41102 sandysgaming.com



January 24, 2024

KQHDF Advisory Committee of the Kentucky Horse Racing Commission 4063 Iron Works Parkway Building B Lexington, Kentucky 40511

#### Committee Members:

Sandy's Racing & Gaming has reviewed our purses and would like to request consideration to add \$10,000 in KQHPAA Development Funds to supplement six qualified KY Bred races for a total draw of \$60,000 in KQHPAA Development Funds. We have based the request on the following information:

- 1. From April 1, 2023, through December 31, 2023, live on-track wagers totaled \$81,975 with KQHPAA Development Funds of \$810. Simulcasting had \$972 totaled wagered with KQHPAA Development Funds of \$10 and HHR: Total wagers of \$72,063,421 for KQHPAA Development Funds of \$720,634.
- 2. Our 2024 meet will consist of 6 live racing days beginning Sunday, March 17, 2024, and ending Tuesday, March 24, 2024.
- 3. We anticipate the KQHPAA \$60,000 used this year will be approximately 5% of total purses. Of the requested KQHPAA allotment, we anticipate the following payouts:
  - a. MDN CL; approximately 33.3%
  - b. MDN; approximately 50%
  - c. CL; approximately 16.7%
- 4. We are anticipating the same live and simulcast numbers in 2024 as we had in 2023.

Please contact us should you have any questions or need additional information.

Sincerely,

John Marshall President 804-944-1098

jm@sandysgaming.com

Marshu

10775 U.S. Route 60 Ashland, Kentucky 41102 sandysgaming.com



KQHPAA Advisory Committee of the KHRC 4063 Iron Works Parkway Building B Lexington, Kentucky 40511

#### Committee Members:

The following information will be included in an Addendum for the 2024 Condition Book related to the KQHPAA Development Fund since our Condition Book has already been published. Sandy's Racing & Gaming KQHPAA allocation will be the following:

Fund Monies will be divided as follows; 50% to the winner, 30% to second, 15% to third and 5% to fourth. Each race will carry a \$10,000 additional purse for KQHPAA eligible horses. Eligible races can be readily recognized in the condition book by a Horse Head with a K next to each race number. Only those that meet the eligibility requirements set forth in accordance with KRS 230.445 will be allocated funds. Unearned fund monies revert to the KQHPAA Development Fund. Additionally, if a non-KQHPAA eligible horse is involved in a dead heat, those funds will revert to the fund.

All KQHPAA PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL

The Kentucky Horse Racing Commission web site for getting any forms for registering QH's is:

#### www.khrc.ky.gov

If you have questions about filling out or submitting a form, please call 859-246-2040, Select Option 4 for the Division of Incentives & Development.

Sincerely,

John Marshall President 804-944-1098 jm@sandysgaming.com

Marshe

**Andy Beshear** GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR



# PUBLIC PROTECTION CABINET

Kentucky Horse Racing Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039 Ray A. Perry SECRETARY

**DJ Wasson**DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Drew Conners, Incentives & Development Director, KHRC

DATE February 21, 2024

RE: KTDF REQUESTS PERTAINING TO KEENELAND ASSOCIATION, INC.'S 2024 SPRING RACE MEET

On February 1, 2024, the KTDF Advisory Committee considered the following requests regarding Keeneland's 2024 Spring Race Meet:

The KTDF purse structure will consist of 16 race days beginning Friday, April 5<sup>th</sup> through Friday, April 26<sup>th</sup>. Keeneland is proposing KTDF purses in the range of \$5 – \$5.2 million. These projections are based on a KTDF earned balance of \$1.9 million at the end of their 2023 Fall Meet. Keeneland is projecting additional earnings of \$1.6 million to \$1.8 million by the end of their meet with HHR wagering at Keeneland-Red Mile (KRM) being the primary driver along with an additional \$1.5 million to \$1.7 million projected from Oak Grove per their contract. To encourage participation during the meet, Keeneland will pay out KTDF purses through last place to all KTDF eligible horses.

To help Keeneland fund their KTDF purses while increasing the strength of the overall Kentucky Racing Circuity, Keeneland is also seeking a \$1.25 million dollar transfer from Kentucky Downs.

In accordance with KRS.230.400, Keeneland is also requesting the use of \$106,820 in KTDF to promote, enhance, improve and encourage the further and continued development of the Kentucky Thoroughbred breeding industry in Kentucky.

The KTDF Advisory Committee along with the Kentucky HBPA and the KTA-KTOB recommend approval.

KHRC staff recommends approval.

COMMISSIO	N ACTION
	Approve
	Defer
	Deny



Keeneland Association, Inc. 4201 Versailles Road P.O. Box 1690 Lexington, KY 40588-1690 859 254-3412 Tel. 800 456-3412 859 288-4348 Fax www.keeneland.com

January 30, 2024

KTDF Committee of the Kentucky Horse Racing Commission 4063 Iron Works Parkway Lexington, KY 40511

Dear Committee Members:

Keeneland has reviewed our KTDF data and would like to offer approximately \$5,000,000-\$5,200,000 in KTDF money for our upcoming Fall meet.

- 1. We currently have a surplus of \$1,906,660 following the Fall 2023 race meeting:
- 2. We will conduct 16 days of live racing from April 5<sup>th</sup> through April 26th on Wednesdays through Sundays;
- 3. We anticipate KTDF funds to constitute 25.9% of total purses. Breakdown by race type below:
  - a. MSW, ALW, AOC: \$2,912,000 (57.5% of the KTDF allotment)
  - b. CL, MCL, MA, SA: \$652,800 (12.9% of the KTDF allotment)
  - c. Stakes: \$1,500,000 (29.6% of the KTDF allotment)
- We anticipate a payout percentage of 88.0% of the KTDF carded (\$4,400,000-\$4,576,000 paid);
- 5. We intend to utilize \$106,820 of KTDF for advertising. Detailed materials regarding the advertising allotment are included;
- We are projecting Keeneland-Red Mile KTDF earnings from Historical Horse Racing of approximately \$1,600,000-\$1,800,000;
- 7. We anticipate Oak Grove KTDF carning from Historical Horse Racing of approximately \$1,500,000-\$1,700,000 to be transferred at the conclusion of our race meeting per our contract;
- We anticipate a KTDF transfer of \$1,250,000 from Kentucky Downs per agreement;

Keeneland remains committed to improving the on-track experience for patrons and is continuously seeking to improve ticketing, food/beverage, customer service, and promotions/events in order to grow our fanbase, and ultimately increase on-track handle. Keeneland has begun its major capital improvement project including a permanent Paddock Building, which will increase capacity and provide new dining options. The project will also update the saddling enclosure to promote horse/horsemen safety. We continue to utilize our BETologists to increase awareness and engagement with on-track fans to further drive handle. With the opening of sports

wagering, we are excited to improve facilities at Keeneland-Red Mile and plan to encourage patrons to utilize HHR, simulcast, and sports wagering areas.

Keeneland will continue to pay out KTDF funds through last place. A table containing the payout percentage by field size is attached. In the event there is a dead heat for finish positions 1 thru 5, the KTDF percentage payout for each position will be aggregated and paid equally to each horse included in the dead heat. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

We will evaluate the earnings and payout percentages several days into our race meet and may need to make adjustments if our actual earnings and payout percentages are significantly different from our assumptions.

Please contact me if you have any questions or need additional information.

Sincerely,

Gatewood Bell

Vice President of Racing

								# of St	arters						
		1	2	3	4	5	6	7	8	9	10	11	12	13	14
	1st	62.00%	62.00%	62.00%	62.00%	62.00%	61.2250%	60.4500%	59.6750%	58.9000%	58.1250%	57.3500%	56.5750%	55.8000%	55.0250%
	2nd		20.00%	20.00%	20.00%	20.00%	19.7500%	19.5000%	19.2500%	19.0000%	18.7500%	18.5000%	18.2500%	18.0000%	17.7500%
	3rd			10.00%	10.00%	10.00%	9.8750%	9.7500%	9.6250%	9.5000%	9.3750%	9.2500%	9.1250%	9.0000%	8.8750%
	4th				5.00%	5.00%	4.9375%	4.8750%	4.8125%	4.7500%	4.6875%	4.6250%	4.5625%	4.5000%	4.4375%
	5th					3.00%	2.9625%	2.9250%	2.8875%	2.8500%	2.8125%	2.7750%	2.7375%	2.7000%	2.6625%
Finish	6th						1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
Position	7th							1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
	8th								1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
	9th									1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
	10th										1.25%	1.25%	1.25%	1.25%	1.25%
	11th											1.25%	1.25%	1.25%	1.25%
	12th												1.25%	1.25%	1.25%
	13th													1.25%	1.25%
	14th														1.25%
	Total :	62%	82%	92%	97%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Distrib	tion %'s
1st	62.00%
2nd	20.00%
3rd	10.00% ** after 6th thru last is paid the
4th	5.00% remainder of purse is distributed
5th	3.00% accordingly to 1st thru 5th
6th-14th	1.25% each



KTDF Advisory Committee Kentucky Horse Racing Commission 4063 Ironworks Parkway Lexington, KY 40511

Chairman Landes,

Kentucky Downs respectfully requests the KTDF's approval to send \$1.25 million of KTDF monies to Keeneland Race Course for their upcoming 2024 Spring racing season.

Thank you in advance for your assistance.

Sincerely,

Ted Nicholson

Vice President of Racing

kentuckydowns.com - 5629 Nashville Rd - Franklin, KY 42134 - (270) 586-7778

# Keeneland KTDF Advertising Request







# Keeneland Race Meet Paid Media

Keeneland is requesting KTDF funds to offset advertising expenses to promote, enhance, improve and encourage continued development of the Thoroughbred breeding industry in Kentucky. As you will see from the following deck, our efforts are targeting the following audiences:

- **Horsemen** Promoting purse supplement for eligible Kentucky Bred horses, quality of our racing product, the value of the Kentucky racing circuit.
- **Horseplayers** Driving handle by advertising stakes races, lucrative purses and wagering opportunities to increase handle.

# 2024 Spring Budget Outline

	SPRING MEET INVESTMENT		
TDN STAKES PROMOTIONS	\$2,820		
DOWN THE STRETCH RADIO	\$2,000		
HRRN	\$17,000		
DRF	\$50,000		
DRF EN ESPANOL	\$15,000		
IN THE MONEY MEDIA	\$20,000		

Total Request: \$106,820

# Horsemen Thoroughbred Daily News

### STAKES SCHEDULE ADVERTISING

- Advertise stakes races, stall applications, purse enhancements and other highlights of the racing program in an effort to attract new horsemen and new horses to Kentucky to compete.
- Highlights the KTDF contribution purses.
- 3 Placements | 2/10, 2/16, 3/19

Total Spend \$2,820



# Horseplayer & Horsemen

# Down the Stretch

Commercial Inventory | Sirius XM

- Race day/handicapping analysis
- Promotion of strength of the Kentucky racing circuit and quality racing product at Keeneland.
- "Keeneland Report" segment on Sirius XM every Saturday (4 Spring shows)

Total Spend \$2,000

# Horseplayer HRRN

#### **Commercial Inventory**

- Advanced horseplayer and Horsemen
- Equine Forum Commercial Spots
- Race day/handicapping analysis
- Promotion of strength of Kentucky Racing Circuit



#### Social Media & Website Banner

- HRRN will tweet about upcoming show information including key races that provides increased exposure and coverage of Keeneland racing.
- KTDF logo will be included on a 200x100 size banner on their website

Total Spend \$5,000

# Horsemen HRRN

#### Horse Week Series | Sirius XM

- "Keeneland Horse Week Series" will kick off in early April leading up to the Blue Grass Stakes and include Kentucky Derby week before transitioning to dates in late summer leading up to the Keeneland Sales
- Series will showcase Kentucky-bred Keeneland sales graduates that have excelled on the racetrack and feature interviews with relevant trainers, jockeys, sales connections, etc.

Total Spend \$12.000

# Horseplayer DRF

### **Print Inventory**

- Dedicated Turf Pick 4 Campaign
- Full Page ads
- Print Strip PPs
- Front Cover Strip
- Late Pick 5 Advertorial 1 Fridays & Saturdays

#### **Digital Inventory**

- Countdown Clock on DRF.com
- 750,0000 impressions on DRF.com I Daily
  - Homepage Overlay
  - Entires/Results Overlay
  - Homepage Carousel
  - o Email Blast
  - o Digital PP Banners

Total Spend \$50,000

## **DRF ASSETS**

#### **RUNS THROUGHOUT THE SPRING MEET**





# Horseplayer / New Fans DRF en Espanol

- Keeneland is the presenting Sponsor of "DRFenEspanol.com" and to include broader Spanish coverage throughout the meet
- "Live Keeneland en Espanol" broadcast | On-site during opening weekend
- "Live Keeneland en Espanol" broadcast | Broader remote coverage for duration of Spring Meet
- Keeneland content on Keeneland Landing page on DRFenEspanol.com
- "La Referencia" | Handicapping Keeneland racing every Saturday and Sunday (avg. 2,000 downloads per day)
- DRFenEspanol Twitter promotion of throughout the Keeneland race meet
- Keeneland banners on DRF en Espanol event page on DRF.com

Total Spend \$15,000

# **DRF ASSETS**

#### **RUNS THROUGHOUT THE MEET**





# Horseplayer In the Money

### IN THE MONEY PODCAST Peter Fornatale

- Players Podcast sponsorship and custom show: These segments and accompanying online articles will promote quality of wagering product at Keeneland to drive bettor interest in Kentucky racing
- Content Partnership: Utilizing ITM talent through video, social and web platforms to promote and educate on wagering strategies on Keeneland's racing product to emerging bettors







Total Spend \$20,000



Andy Beshear GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR

THOUGHT WITH

Ray A. Perry SECRETARY

**DJ Wasson**DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

# PUBLIC PROTECTION CABINET Kentucky Horse Racing

Commission
4047 Iron Works Parkway
Lexington, KY 40511

Phone: (859) 246-2040 Fax: (859) 246-2039

TO: Kentucky Horse Racing Commission

FROM: Drew Conners, Incentives & Development Director, KHRC

DATE February 21, 2024

KTDF REQUESTS PERTAINING TO CHURCHILL DOWNS RACETRACK, LLC'S 2024 SPRING RACE

MEET

RE:

On February 1, 2024, the KTDF Advisory Committee considered the following requests regarding Churchill Downs 2024 Spring Race Meet:

The KTDF purse structure will consist of 43 race days beginning Saturday, April 27<sup>th</sup> through Sunday, June 30<sup>th</sup>. Churchill Downs is proposing KTDF purses in the range of \$10.5 – \$11 million. These projections are based on a KTDF earned balance of \$6.7 million by the end of April 2024. Churchill is projecting additional earnings of \$5 million by the end of July with HHR wagering at Derby City and Derby City Downtown being the primary driver. To encourage participation during the meet, Churchill Downs will pay out KTDF purses through last place to all KTDF eligible horses.

In accordance with KRS.230.400, Churchill Downs is also requesting the use of \$200,000 in KTDF to promote, enhance, improve and encourage the further and continued development of the Kentucky Thoroughbred breeding industry in Kentucky.

The KTDF Advisory Committee along with the Kentucky HBPA and the KTA-KTOB recommend approval.

KHRC staff recommends approval.

COMMISSION	<u>ACTION</u>
	_ Approve
	_ Defer
	Deny



January 29, 2024

KTDF Advisory Committee of the Kentucky Horse Racing Commission 4063 Iron Works Parkway Building B Lexington, Kentucky 40511

#### Committee Members:

Churchill Downs has reviewed its purses and would like to card KTDF purses in the range of \$10.5 million to \$11.0 million for our upcoming Spring Meet. We have based the range on the following information:

- 1. At the end of April 2024, Churchill Downs is projecting an ending KTDF earned balance of \$6.7 million.
- 2. Our 2024 Spring Meet will consist of 43 racing days beginning Saturday, April 27<sup>th</sup> and ending Sunday, June 30<sup>th</sup>. We are projecting additional earnings of \$5.0 million by the end of July. The primary driver is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City") and Derby City Gaming Downtown ("Derby City Downtown") from the beginning of May 2024 through the end of the Spring Meet.
- 3. We anticipate that KTDF will be approximately 24% of total purses. Of the requested KTDF allotment, we anticipate the following payouts:
  - a. ALW, AOC, SMSW, MDN: approximately 61% of the KTDF allotment
  - b. BCL, CLM, MCL, STR, WMC: approximately 16% of the KTDF allotment; and
  - c. Stakes: approximately 23% of the KTDF allotment
- 4. For Spring 2024, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$9.5 million which is \$0.9 million higher than the \$8.6 million paid during the Spring 2023 Meet. The driver of higher purses paid is additional KTDF added to Stakes races during Derby Week.
- 5. To encourage participation during the Spring Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman

Vice President, Racing & Racing Secretary

**Austin Schmitt** 

Justo Schnie

Vice President, Finance



January 29, 2024

KTDF Advisory Committee of the Kentucky Horse Racing Commission 4063 Iron Works Parkway Building B Lexington, Kentucky 40511

#### Committee Members:

The following information will be included in Churchill Downs' 2024 Spring Condition Book related to the Kentucky Thoroughbred Development Fund (KTDF).

# CHURCHILL DOWNS RACE TRACK KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF) ALLOCATION OF FUND BASED ON NUMBER OF STARTERS AND FINISH

For those horses that are registered and eligible according to the condition listed above, fund monies will be divided as follows: 60% to the winner, 20% to the second, 10% to the third, 5% to the fourth, 3% to the fifth and the remaining 2% to be allocated among sixth through last based on the schedule below.

	Number of Starters										
Finish	5	6	7	8	9	9	10	11	12	13	14
1st	60.00%	60.00%	60.00%	60.0	0% 60	0.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.0	0% 20	0.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.0	0% 10	0.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.0	0% 5	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.0	0% 3	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.6	7% (	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
7th			1.00%	0.6	7% (	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
8th				0.6	6% (	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
9th					(	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
10th							0.40%	0.33%	0.28%	0.25%	0.22%
11th								0.33%	0.28%	0.25%	0.22%
12th									0.28%	0.25%	0.22%
13th										0.25%	0.22%
14th											0.22%
Total	98.00%	100.00%	100.00%	100.0	0% 100	0.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Example:  Date 6/25/2017	Race 10	# of Starters 11	KTDF Offered \$7,400.00								
		2nd	3rd	4th	5th	Finis 6th		8th	9th	10th	11th
KTDF:	1st	Znu		4tn							
Eligible	Yes	Yes	Yes	Yes	Yes	Yes	s Yes	No	Yes	Yes	Yes
Payout	\$4,440.00	\$1,480.00		\$370.00	\$222.00		5.16 \$25			\$24.42	\$24.42
, =-	KTDF Reverts \$24.42					,	,	****	,·-	,	



Example: Dead Heat for 2nd between an eligible and non-eligible horse using the above example.

	2nd	3rd	Total	
KTDF:				
Payout	\$1,480.00	\$740.00	\$2,220.00	> Eligible horse would receive 50% of the Total for 2nd and 3rd pla-
	KTDF	KTDF		
	Payout	Reverts		
	\$1,110.00	\$1,110.00		

#### THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)

Given several years of robust business during the period beginning 2019 through April 2024, the state-of-the-art historical racing machines at nearby Derby City Gaming have generated KTDF for horsemen with Kentucky-sired Kentucky-breds to run for in designated stakes, handicap, allowance, non-claiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Churchill Downs Racetrack. Continuing in Spring 2024, Churchill Downs Racetrack will offer KTDF money on all claiming races.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

#### Mrs. Jenny McGaughey, Registrar

Kentucky Thoroughbred Owners and Breeders

4079 Iron Works Parkway Lexington, Kentucky 40511

Phone: **859-259-1643** Mobile: **859-361-6002** 

Registration may be completed online at www.kta-ktob.com. The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

60%	to the winner
20%	to second
10%	to third
5%	to fourth



Remaining 2% divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL.

If you have any questions or need additional information, please reach out to me or Ben Huffman.

Sincerely,

Austin Schmitt Vice President, Finance Churchill Downs Race Track 700 Central Avenue Louisville, KY 40208 Office: 502-638-3845

Cell: 502-609-7574
austin.schmitt@kyderby.com

Ben Huffman

Vice President, Racing & Racing Secretary Churchill Downs Race Track

Ben Huffman

700 Central Avenue Louisville, KY 40208 Office: 502-638-3820 Fax: 800-928-3372

ben.huffman@kyderby.com

# Churchill Downs KTDF Advertising

2024



# 2023 Racing Marketing Recap

- In 2023, CDRT spent over \$130,000 in racing marketing initiatives through various industry channels.
- Continued past ads with TDN and Horse Racing Radio Network, saw success with new expenditures including workout videos with Bruno DeJulio and digital ads with Horse Racing Nation.
- Ads advertised stakes races, stall applications, purse enhancements and other highlights of the racing program in an effort to attract new horsemen and new horses to Kentucky and to build awareness of Kentucky's racing and breeding industries.
- Included KTDF call out and logo on all print and digital ads.





**CHURCHILL DOWNS** 

# 2024 KTDF Advertising Goals

- Continue momentum of 2022-2023 racing advertising campaigns, accentuating the strength and dominance of Kentucky racing and Churchill Downs' racing product.
- Spend of no more than \$200,000 of KTDF advertising dollars for 2024 for Churchill Downs.
- Advertise with and support numerous different industry specific vendors with the goal to reach our target audience of horsemen and horseplayers.
  - Increase awareness of safety and aftercare initiatives to promote overall goodwill for industry.



# 2024 Budget Outline

2024 Proposed Traditional Spend					
TDN - Derby Week Stakes	\$8,500				
Equibase.com	\$7,500				
Bloodhorse.com - Derby Week Stakes	\$1,500				
Bloodhorse.com	\$2,500				
National Stakes Quarterly	\$1,300				
TDN Spring Stakes Adverts	\$4,250				
TDN Stephen Foster Adverts	\$5,500				
TDN Sept. Adverts	\$4,900				
TDN Fall Adverts	\$5,800				
ST Publishing - Saratoga Ads	\$6,000				
HRRN - Horse Racing Radio - Spring	\$7,500				
HRRN - Horse Racing Radio - Sept/Fall	\$7,500				
Total	\$62,750				

2024 Proposed Other Spend	
Steve Byk	\$15,000
Horse Racing Nation (May, June, Sept, Nov)	\$40,000
ESPN Louisville Radio	\$10,000
Workout Video Production – Bruno (April – December)	\$36,000
In the Money Podcast	\$15,000
Trainer / Owner Recruitment	\$12,000
Stakes Blankets	\$5,000
Total	\$133,000

Total Spend	
Proposed Traditional	\$62,750
Proposed Other	\$133,000
Total	\$195,750



# 2024 Continued Initiatives

- Horse Racing Nation
  - Digital marketing campaign focused on bringing awareness to Churchill Downs racing, keeping Kentucky racing "front of mind"
    - Banner ads, social media, email pushes about Churchill racing.
  - Weekly Fair Odds pieces
    - Condition racing fans that KY racing always has something going on, not just on big days.
    - The Iroquois top trafficked piece, more than 5,000 views.
  - Increased traffic on Churchill Downs entries and results pages – 122,000 views for November 2023 up from 97,000 in 2022.
  - Handicapping livestream at Turfway, generating over 1,000 views per stream, plan to continue at Churchill Downs.







# Workout Video Results

- · Positive response from horsemen about the videos
  - Director of Equine Industry Relations received numerous calls, emails and texts
- Horseplayers are responding positively to the increased visibility into training helping them better formulate opinions for wagering



 Since Sept. 1 through Jan. 25, 29,932 views of the workouts via the KTA Website from 5,346 unique visitors spending an average of 5 minutes each on the site





# 2024 Continued Initiatives

- Advertise through the TDN and other industry specific media buys
- In The Money Media
  - 25 shows featured content related to Kentucky racing and those shows averaged 2,200 downloads in 2023
    - Totaling 55,000 listens in 2023
  - KTDF and Kentucky racing received mentions in various other channels like their newsletter, which has over 6,000 subscribers and their Youtube Channel.





# 2024 New Initiatives

- Winner Blankets for KTDF funded Stakes Races at Churchill Downs:
  - 15 Grade 2
  - 21 Grade 3
- CD to create design and ensure KYBRED logo placement

- Trainer/Owner Recruitment
  - Coordinate with the KTA to create focused ads with the goal of bringing new owners and trainers to Churchill Downs
  - Ads to Highlight ability to train and race year-round in Kentucky as well as different incentives available to horsemen
  - Highlight KTDF bonus money in claiming races all races





Andy Beshear GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR

### PUBLIC PROTECTION CABINET Kentucky Horse Racing

Commission 4047 Iron Works Parkway Lexington, KY 40511

Phone: (859) 246-2040 Fax: (859) 246-2039 Ray A. Perry SECRETARY

**DJ Wasson**DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Drew Conners, Incentives & Development Director

DATE February 21, 2024

RE: CHURCHILL DOWNS RACETRACK, LLC'S REQUEST FOR APPROVAL OF BACKSIDE

**IMPROVEMENT FUND PROJECTS** 

In a letter dated February 2, 2024, the KHRC received the following Backside Improvement Fund Request from Churchill Downs Racetrack, LLC:

Total for full scope of work: \$30,504

Roof Replacement for Barn M at Churchill Downs Trackside

KHRC staff confirms the project meets the statutory requirements of KRS 230.218, 810 KAR 7:010 and that funding is available. KHRC will inspect the installation once the project is completed. All invoices, photographs and communication will remain on file for Commission review.

The Kentucky HBPA and the KTA-KTOB recommended approval of these requests.

KHRC staff recommends approval.

COMMISSION	ACTION
	Approve
	Defer
	Deny



February 2, 2024

Ms. Jamie Eads
Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511
jamie.eads@khrc.ky.gov
(859) 246-2040

#### Re: Application for Approval of Backside Improvement Fund Projects

Dear Ms. Eads,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds for the roof replacement of Barn M at Churchill Downs Trackside. The estimated cost of the improvement is \$30,504.

Defined project scope and sole source quote provided by ICRC Contracting is attached.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,

Mike Ziegler General Manager & Senior Vice President Churchill Downs Racetrack 700 Central Ave. Louisville, KY 40208 Office: (502) 394-1137

Enclosure: Project Estimate



ICRC Contracting 310 W Oak St. Louisville, KY 40203 1/18/2024

#### Customer:

Churchill Downs (Trackside) 1360 Durrett Ln Louisville, KY 40213

### <u>ESTIMATE</u>

(Trackside Barn M)

Complete roof replacement of Barn M  Wood/roof decking to be determined upon start of project*	\$30,504.00
All labor, materials, rentals, and applicable taxes included	

\*OSB wood decking: \$38/sheet and will be replaced as needed

TOTAL: \$30,504.00

Andy Beshear GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR



Ray A. Perry SECRETARY

**DJ Wasson** DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

# PUBLIC PROTECTION CABINET Kentucky Horse Racing Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039

TO: Kentucky Horse Racing Commission

FROM: Patricia Cooksey, Presiding and Associate Standardbred Judge

DATE: February 21, 2024

RE: WKY DEVELOPMENT, LLC'S PROPOSED 2024 SPRING RACE MEET OFFICIALS

By letter dated February 2, 2024, Cara Collins, Racing & Equine Manager of WKY Development, LLC (Oak Grove), requests approval of the attached roster of Racing Officials for its 2024 Spring Race Meet. Please be advised all judges have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by Kentucky Horse Racing Commission.

KHRC staff recommends approval.

COMMISSION	N ACTION
	Approve
	Defer
	Deny



February, 2nd 2024 Ms. Jamie Eads Executive Director Kentucky Horse Racing Commission 4062 Iron Works Parkway Lexington, KY 42262

Ms. Eads,

Oak Grove Racing, Gaming & Hotel respectfully requests approval from the Kentucky Horse Racing Commission for the following Standardbred Racing Officials for our upcoming meet:

General Manager: Darren VanDover

Racing Manager: Cara Collins
Associate Steward: Dave McGee

Racing Secretary/ Program Coordinator: Kevin Mack
Starter: Roy Finn

Assistant Race Secretary/ Clerk: Janice Lance
Charter: Terri Mt Pleasant

Paddock Judge: Mike Stone

Horse Identifier:

Timer and Photo Finish:

Mutuals Manager:

Director of Security:

Track Superintendent:

Track Announcer:

Track Announcer:

Track Pam Coleman

TBD

Gate Driver/Paddock Blacksmith: Marvin Pryor
Outrider: Dwayne Wells

As always, Oak Grove Racing and Gaming shall be in compliance with all applicable state and federal laws. Thank you for your time in considering this matter.

Very Much Appreciated,

Cara Collins

Racing and Equine Manager

Andy Beshear GOVERNOR



Ray A. Perry SECRETARY

DJ Wasson
DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

Jacqueline Coleman
LIEUTENANT GOVERNOR

PUBLIC PROTECTION CABINET
Kentucky Horse Racing
Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039

TO: Kentucky Horse Racing Commission

FROM: Patricia Cooksey, Presiding and Associate Standardbred Judge

DATE: February 21, 2024

Deny

RE: REVOLUTIONARY RACING KENTUCKY, LLC'S ("SANDY'S RACING & GAMING") PROPOSED 2024

**SPRING RACE MEET OFFICIALS** 

By letter sent February 1, 2024, John Marshall, President of Revolutionary Racing Kentucky, LLC (Sandy's Racing & Gaming), requests approval of the attached roster of Racing Officials for Sandy Ridge Racing's 2024 Spring Race Meet conducted at Red Mile Racetrack. Please be advised all judges have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by the opening day of its meet.

KHRC staff reco	ommends approval.
COMMISSION	<u>ACTION</u>
	_ Approve
	Defer



## SANDY RIGDE at THE RED MILE OFFICIALS LIST for the 2024 spring meet

KY STATE STEWARD Dan Fick

ASSOCIATION STEWARD David Keiter

RACING MANAGER Terry Oliver

RACING SECRETARY Mike Anifantis

HORSEMENS BOOKKEEPER Terry Moore

PLACING JUDGES/ ENTRY CLERKS Alan Fox

Sheri Torres

PODDOCK JUDGE/ENTRY CLERK Maquitta McMillian

CLERK OF SCALES/ENTRY CLERK Jerry Jewel

OVERNIGHT/PROGRAM/PLACING Mary Peterman

CLOCKER / CLAIMS CLERK Shane Burke

STALL SUPERIENTENDENT Dee Scoles

COLORS/NUMBERS Kevin Rarick

HORSE ID Oscar Sanchez

STARTER Dave Fuller

TRACK SUPERIENTENDENT Dan Coons

DIRECTOR OF MUTUELS Melissa Xavier

DIRECTOR OF SECURITY Harvey Taylor

TIMER American Teletimer

ANNOUNCER Robert Fox

TRACK VET Parks Equine Hospital Vet

Service

**Andy Beshear** GOVERNOR

Jacqueline Coleman LIEUTENANT GOVERNOR

# PUBLIC PROTECTION CABINET

Commission 4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040

**Kentucky Horse Racing** 

Fax: (859) 246-2039

Ray A. Perry SECRETARY

**DJ Wasson DEPUTY SECRETARY** 

**Jamie Eads** EXECUTIVE DIRECTOR

**Jonathan Rabinowitz** CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Barbara Borden, Chief State Steward

DATE February 21, 2024

RATIFICATION OF EXECUTIVE DIRECTOR'S APPROVAL OF TURFWAY PARK, LLC'S REQUEST RE:

TO AMEND SECTION R OF ITS 2024 APPLICATION TO CONDUCT LIVE HORSE RACING,

SIMULCASTING, AND PARI-MUTUEL WAGERING

In its application for a license to conduct live horse racing, simulcasting and pari-mutuel wagering, Turfway Park, LLC ("Turfway Park") sought and received an award of 2024 race dates, including January 19-20, 2024. On January 17, 2024, Turfway Park's Director of Racing, Tyler Picklesimer, requested approval to cancel racing at Turfway Park on January 19-20, 2024, due to inclement weather in Florence, Kentucky. Mr. Picklesimer further requested to card one additional race nightly, starting Thursday. January 25th. Turfway Park will resume a normal race schedule starting Saturday, February 24<sup>th</sup>, with The Leonatus Stakes rescheduled for Friday, February 2<sup>nd</sup>. In addition, Turfway Park received consent from the Kentucky Thoroughbred Owners & Breeders, Inc., as well as the Kentucky Horsemen's Benevolent and Protective Association.

Due to the time constraints of these requests, Executive Director Jamie Eads granted conditional approval for Turfway Park to cancel racing on January 19-20, 2024, and card one additional race nightly, starting Thursday, January 25th. KHRC staff recommends ratification of Executive Director Eads' approval.

COMMISSION .	ACTION
	Rafity
	_ Defer
	Deny

From: Tyler Picklesimer (Turfway) <Tyler.Picklesimer@turfwaypark.com>

Sent: Wednesday, January 17, 2024 4:57 PM

To: Eads, Jamie (PPC) < <u>Jamie.Eads@ky.gov</u>>; Borden, Barbara (PPC) < <u>barbara.borden@ky.gov</u>>

Cc: Chip Bach (Turfway) < <a href="mailto:Chip.Bach@turfwaypark.com">Chip.Bach@turfwaypark.com</a>; Gary Palmisano (CDRT) < <a href="mailto:Gary.Palmisano@kyderby.com">Gary.Palmisano@kyderby.com</a>> Subject: FW: Turfway Park News Release: Turfway Park Cancels Friday, Saturday Programs Due to Extreme Cold -

makeup races

\*\*CAUTION\*\* PDF attachments may contain links to malicious sites. Please contact the COT Service Desk ServiceCorrespondence@ky.gov for any assistance.

## This Message Originated from Outside the Organization

This Message Is From an External Sender.

Report Suspicious

Jamie and Barb,

Please see the press release attached.

Due to the weather, we have cancelled live racing this Friday and Saturday.

To follow up on our text conversation yesterday. With permission we intend to card one additional race nightly (staring Thursday, Jan 25<sup>th</sup>) in order to make the races up.

If all goes as planned we will be back to our normal schedule on Saturday, February 24th.

Additionally, The Leonatus stakes has been rescheduled for Friday, Feb 2<sup>nd</sup>.

Please let us know if you require anything additional from us.

Thank you, Tyler

Tyler B. Picklesimer
Director of Racing / Racing Secretary
7500 Turfway Road
Florence, Kentucky 41042
Phone: 859-647-4830

Fax: 859-647-4739 www.turfway.com From: Kevin Kerstein (CDRT) < Kevin.Kerstein@kyderby.com>

Sent: Wednesday, January 17, 2024 1:42 PM

To: Kevin Kerstein (CDRT) < Kevin.Kerstein@kyderby.com>

Subject: Turfway Park News Release: Turfway Park Cancels Friday, Saturday Programs Due to Extreme Cold

## FOR IMMEDIATE RELEASE:

Contact: Kevin Kerstein Kentucky Racing Communications Churchill Downs, Ellis Park, Turfway Park (610) 420-6971 (mobile) (502) 635-4712 (office) Kevin.Kerstein@KyDerby.com

## TURFWAY PARK CANCELS FRIDAY, SATURDAY PROGRAMS DUE TO EXTREME COLD; WEDNESDAY, THURSDAY CARDS REMAIN UNCHANGED

**FLORENCE, Ky.** (Wednesday, Jan. 17, 2024) – Officials from Turfway Park announced the cancellation of Friday and Saturday's live racing programs due to forecasted wind chill values in the single digits, according to the National Weather Service forecast.

Despite the weather challenges on Friday and Saturday, fans can still enjoy the live racing programs on Wednesday and Thursday, with daily first posts of 5:55 p.m.

Friday's forecast called for about one inch of snow during the day with the feels-like temperature around 8 degrees and a northwest wind at 9 to 15 mph during the evening. Although the snow is expected to depart by Saturday, the wind chill will remain frigid, around 6 degrees at first post.

The races carded for Friday and Saturday will be re-drawn and added as extras for the upcoming entry days. Saturday's featured \$125,000 Leonatus Stakes is now tentatively scheduled for Feb. 2.

For more information about racing and gaming from Turfway Park, visit www.turfway.com.

-END-



## **Kevin Kerstein**

Kentucky Racing Communications Churchill Downs, Ellis Park, Turfway Park

Churchill Downs C: 610-420-6971
700 Central Avenue
Louisville, KY 40208
C: 610-420-6971
O: 502-635-4712

Kevin.Kerstein@kyderby.com



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## **News Release**



\_\_\_\_

#### FOR IMMEDIATE RELEASE:

Contact: Kevin Kerstein Kentucky Racing Communications Churchill Downs, Ellis Park, Turfway Park (610) 420-6971 (mobile) (502) 635-4712 (office) Kevin Kerstein@KyDerby.com

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For more information about racing and gaming from Turfway Park, visit www.turfway.com.

-END-

**Andy Beshear** GOVERNOR

Jacqueline Coleman
LIEUTENANT GOVERNOR



## PUBLIC PROTECTION CABINET

## Kentucky Horse Racing Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039 Ray A. Perry SECRETARY

**DJ Wasson**DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Barbara Borden, Chief State Steward

DATE February 21, 2024

Deny

RE: CHURCHILL DOWNS RACETRACK, LLC'S PROPOSED 2024 SPRING RACE MEET OFFICIALS AND

**DATE PREFERENCE SYSTEM** 

By letter sent January 17, 2024, Ben Huffman, Racing Secretary at Churchill Downs Racetrack, LLC (Churchill Downs), requests approval of the attached roster of Racing Officials and Date Preference System for Churchill Downs' 2024 Spring Race Meet. Please be advised all Stewards have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by the first race date of the meet.

KHRC staff recom	nmends approval.	
COMMISSION AC	<u>CTION</u>	
	Approve Defer	



January 17, 2024

Jamie Eads

**Executive Director** 

Kentucky Horse Racing Commission

4063 Iron Works Parkway

Lexington, KY 40511

Dear Ms. Eads

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2024 Churchill Downs Spring Meet, along with the date preference rules, which will be used during this meet, for approval by the Kentucky Horse Racing Commission.

Respectfully submitted,

Ben Haffman

D. Ben Huffman

Racing Secretary

**Enclosure** 

## CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2024 SPRING MEET

ASSOCIATION STEWARD:	Tyler Picklesimer
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER: ASST. HORSE IDENTIFIER:	Melinda Vest Jeff Furmanski
CLERK OF SALES:	David Heitzmann
JOCKEY ROOM CUSTODIAN:	Charlie Woods
CLAIMS CLERK:	Kenneth Bourque
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Carol Renn
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Shane Hoodenpyle
SR. DIRECTOR SECURITY AND SAFETY:	Josh Ball
DIRECTOR OF MUTUELS:	Matt Bonar
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Jorie Gorski Baley Hare Autumn Charley Allison DeLuca Wallace Smithhart Tim Day
ANNOUNCER:	Travis Stone
STALL MANAGER:	Steve Hargrave

#### DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

Foal Certificates and digital foal certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses in over-filled races.

- 1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
- **2.** All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:
- 3. **FOR A ZERO DATE** foal papers and digital foal papers must be turned in to the identifier by Tuesday, April 23, 2024.

#### E-Date

This date will correspond to:

- a) The day on which a horse's foal papers or digital foal papers are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting). An unnamed horse will not receive an E-date.
- b) The day on which a horse is first entered if his papers or digital foal papers are not on file with the identifier.

To qualify for the latter E-date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

## R-Date

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

- 4. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another race track will forfeit any date they may have at Churchill Downs.
- 5. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
- 6. A horse that runs in a race, which has been taken off the turf will retain its turf date. A scratched horse will receive an R-date from the date scratched.
- 7. When a race is taken off the turf, main track only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the turf, main track only (MTO) horses will retain their previous date and will not be permitted to start.
- 8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.

- 9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
- 10. A horse which is a scheduled starter in a cancelled race will not receive an R-Date for that race but will retain its previous date.
- 11. The draw of stakes and handicap race is not subject to date preference.
- 12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
- 13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
- 14. Winners will be preferred in all non maiden races.

## **CONDITION PREFERENCE**

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), down through their allowance conditions (when allowance conditions are equal, and open stakes winners shall be preferred) providing the horse has finished 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> or 4<sup>th</sup> for \$50,000 or more since starting for less than \$50,000. In allowance/optional claiming races a horse's preference cannot exceed the condition of the race. Starter races for less than \$40,000 will not be preferred.

## WINNERS ARE PREFERRED IN ALL NON-MAIDEN RACES.

## **Also-Eligibles**

If there are any scratches in a race with also-eligibles, the also-eligible horses will be permitted to move into the race in the order listed on the overnight.

## 810 KAR 4:010. HORSES

The stewards may at any time require presentation of a horse's registration certificate, virtual certificate, or racing permit or other proof of ownership.

Upon claim, sale or any other transfer of ownership, the horse's registration certificate or racing permit shall be given to the new owner, and any virtual certificate shall be transferred to the new owner electronically. The new owner shall report the change in ownership to the stewards.

**Andy Beshear** GOVERNOR

RE:

Jacqueline Coleman
LIEUTENANT GOVERNOR



## PUBLIC PROTECTION CABINET

Kentucky Horse Racing Commission

4047 Iron Works Parkway Lexington, KY 40511 Phone: (859) 246-2040 Fax: (859) 246-2039 Ray A. Perry SECRETARY

**DJ Wasson** DEPUTY SECRETARY

Jamie Eads
EXECUTIVE DIRECTOR

Jonathan Rabinowitz
CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: Barbara Borden, Chief State Steward

DATE: February 21, 2024

KEENELAND ASSOCIATION, INC.'S PROPOSED 2024 SPRING RACE MEET OFFICIALS AND DATE

PREFERENCE SYSTEM

Deny

By letter dated February 1, 2024, Ashley Whalen, Director of Racing Administration at Keeneland Association, Inc. (Keeneland), requests approval of the attached roster of Racing Officials and Date Preference System for Keeneland's 2024 Spring Race Meet. Please be advised all Stewards have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by the opening day of its meet.

KHRC staff recommends approval.	
COMMISSION ACTION  Approve	
Defer	



Keeneland Association, Inc. 4201 Versailles Road P.O. Box 1690 Lexington, KY 40588-1690 859 254-3412 Tel. 800 456-3412 859 288-4348 Fax www.keeneland.com

February 1, 2024

Ms. Jamie Eads Executive Director Kentucky Horse Racing Commission 4063 Iron Works Pkwy., Bldg. B Lexington, KY 40511

## Dear Jamie:

With the approval of the Kentucky Horse Racing Commission, the officials for the 2024 Spring Meeting at Keeneland will be as follows:

Vice President of Racing Gatewood Bell Association Steward Ronald Herbstreit Racing Secretary David Ben Huffman Director of Racing Administration Ashley Whalen Baley Hare Assistant Racing Secretary TBD Stakes Coordinator Jeff Powell Starter David Wedlake Paddock Judge Assistant Paddock Judge Tyler Picklesimer

Entry Clerks/Patrol/Placing Judges Timothy Day, Jorie Beschizza, Kevin Greeley,

Kenneth Bourque, Jr., Chet Smithhart,

Clerk of Scales
David Heitzmann
Horse Identifier
Melinda Vest
Assistant Identifier
Jeff Furmanski
Timer
TrackMaster
Program Coordinator
Jackie Herbstreit
Jockey's Room Custodian
Charlie Woods

Clocker Chris Crowe, Gary Reckner Claims Clerk Kenneth Bourque, Jr.

Outriders Robin Riesenbeck, Colby Lavergne, Sam Hackler,

Elijah McQuerry, Dale Mallory

Ryan Oakman Horsemen's Bookkeeper Jim Pendergest Director of Racing Surfaces Alfredo Laureano Track Superintendent Director of Wagering Jim Goodman Director of Security Phil Gardner Paddock Blacksmith Arthur S. Tearl Kurt Becker Announcer Wayne Mogge Stable Manager

Post time will be 1:00 p.m. each day (with the exception of Friday, April 26 which first post time will be 12:30 p.m. due to the Keeneland Horses of Racing Age Sale) with eight to eleven live races for the Keeneland Spring Meet. Keeneland will continue to utilize a date preference system and the following entry schedule:

Race DateEntry DayFriday\*FridaySaturday\*SaturdaySunday\*SundayWednesdayWednesdayThursdayThursday

\*Exceptions

Friday, April 5 Monday, April 1

Saturday, April 6 Tucsday, April 2 (Double Entries)
Sunday, April 7 Tucsday, April 2 (Double Entries)

Thank you for your consideration.

Director of Racing Administration

Sincerely,

Welley Wholen

Ashley Whalen

#### KEENELAND DATE PREFERENCE SYSTEM

The Date System of preference will be used at Keeneland Association as described below:

Foal Certificates and Digital Foal Certificates are no longer required to be on file in the racing office to enter or start at Keeneland Association; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.

TO RECEIVE  $\Lambda$  ZERO (O) DATE, foal certificates and digital foal certificates must be on file with the Horse Identifier by Monday, April 1, 2024.

#### Preference by condition eligibility then by:

- In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date
  first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the
  horse with the entry date will have preference.
- All horses intended for racing at Keeneland Association will be assigned a preference date. Dates assigned will be of two types:

#### E-Date

This date will correspond to:

- a. The day on which a horse's foal papers/digital foal papers and name tag are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b. The day on which a horse is first entered if his papers are not on file with the identifier.
- c. To qualify for the latter E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

#### R-Date

This date will correspond to:

- a. The day of a horse's most recent race at Keeneland Association.
- b. An R-Date will also be assigned to a horse which scratches (provided the foal papers are on file with the identifier).
- 3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Keeneland Association.
- 4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
- A horse that runs in a race, which has been taken off the Turf will retain its Turf date and establish a Dirt R-Date. A scratched horse will receive an R-Date from the date scratched for both Turf and Dirt.
- When a race is taken off the Turf, Main Track Only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the Turf, MTO horses will retain their previous date.
- 7. Horses on the Also-Eligible list shall be permitted to draw into races in order listed. A horse entered as MTO shall be listed as an Also-Eligible. If the race remains on the Turf, a horse listed as MTO shall not be permitted to draw into the race.
- 8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
- A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
- 10. A horse which is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain its previous date.
- 11. Stakes and handicap races are not subject to date preference.

- 12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's Office.
- 13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
- 14. Winners will be preferred in all non-maiden races.

## **Condition Preference**

- Horses are preferred by their available conditions in all allowance races beginning with Graded stake winners (Grade I-Π-III), open stakes winners through their allowance conditions (when allowance conditions are equal, an open stakes winners shall be preferred) providing the horse has finished 1st, 2nd, 3rd or 4th for \$50,000 or more since starting for less than \$50,000. In allowance/optional claiming races a horse's preference cannot exceed the condition of the race. Starter races for less than \$40,000 will not be preferred.
- No entry will be received except upon the condition that all disputes, claims and objections arising out of
  the racing or with respect to the interpretation of the conditions of any race shall be decided by the
  officers of the association, or those whom they may appoint and their decision upon all points shall be
  final.
- No entry shall be accepted from any stable not provided with a trainer's license.

#### **Main Track Only Entries**

- Entries will be accepted in turf races (excluding stakes) for Main Track Only (MTO) horses.
- Trainers must declare the horse as MTO at the time of entry.
- A horse entered as MTO shall be listed as Also-Eligible.
- In an over-filled turf race, an MTO shall not be carded to the exclusion of a turf entry. Also-Eligibles
  (including MTO) shall be assigned a post position by preference. If preference is equal, post positions
  shall be drawn by lot, unless otherwise stipulated in the published conditions of the race.
- If the race remains on the turf, a horse listed as MTO shall not be permitted to draw into the race. When a race is removed from the turf, MTO horses will lose any date they have if they run or scratch. If the race remains on the turf, MTO horses will retain their previous date.

## Thoroughbred Rulings

## 2023 TB RULINGS LIST BY CATEGORY ( For Calendar Year 2023 )

MEDICATION VIOLATIONS						
Medication Class	<u>Total</u>	<u>Fines</u>	Suspensions	Disqualifications	Appeals	<u>Explanations</u>
Class A	,1	<b>21</b>	1	0	0	Temazepam -
Class B	0	0	0	0	0	
Class C	4	4	1.	4	0	Methocarbamol, Phenylbutazone (suspension for 2nd offense), Flunixin
Class D	0	0 "	ā.,0	0	0	
ARCI Class 4	2	2	, <b>0</b>	0	0	Mofebutazone
Multiple NSAIDS	0	0	. 0	0	0	
TCO2	0	. 0	0	0	0	
Subtotal	7	\$5,000				
NON-MEDICATION VIOLATIO	NS.					
Jockey Violations						
Careless Riding	20	0	20	0	3	Routine riding offenses - one appeal withdrawn and suspension served
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations Subtotal	9	6 - \$1,800	<sup>4</sup> ; <b>3</b>	o	0	Failure to honor calls, failure to fulfill engagements, failure to comply with advertising regulation, failure to properly serve suspension, failure to heed loose horse warning, display of temper during running of the race, eased up without adequate cause
Other Licensee Violations						
Misc. violations by licensees	16	14	2	0	0	Violated entries regulation, violated scratch regulation, violated claiming regulation, failure to submit timely pre-race exam, overuse of riding crop during training, Jockey Agent failure to honor call, possession of contraband
Disorderly conduct and formal ejections	10	<sub>2</sub> , 2	e <sub>2</sub> 8 °	o	0	Abusive language to racing official, display of temper, suspended pending appearance before stewards for pending criminal charges, possession of contraband, disorderly conduct, falsification of license application, positive human drug test
Suspended for an annual suspended for an annual suspension payment of fines	0	** <b>O</b> *****	0	0	0	
Subtotal	26	\$14,500				
Other Rulings Issued	15		€ <b>o</b>	0,	0	The remaining 15 rulings that have been issued in 2023 are for information only and include purse redistribution and licensee reinstatement to good standing,
TOTAL 2023 RULINGS	<b>7</b> 7	\$21,300	-			withdrawal of appeal, license type change

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0001	1/4/2023	12/31/2022	David J. Haldar	careless riding		Suspended 3 days January 11 through January 13, 2023 (inclusive)		Suspension served
23-0002	1/19/2023	6/14/2018	Elmer E. Todd	Formal ejection from Keeneland lifted		Restored to good standing		Eligible for license
23-0003	1/19/2023	12/30/2022	John Steve Cannon	Methocarbamol #R004789	С	Fined	\$ 500	Fine Not Paid
22-0004	1/19/2023	12/30/2022	John Steve Cannon (owner)	Methocarbamol #R004789	С	Redhot Katie disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0005	2/1/2023	1/19/2023	Eric R. Reed	Phenylbutazone #R005087	С	Suspended 5 days February 10 through February 14, 2023 (inclusive)	\$ 1,000	Suspension served - Fine Not Paid
23-0006	2/1/2023	1/19/2023	Jackie A. Willoughby, Jr. (owner)	Phenylbutazone #R005087	С	Golden Text disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0007	2/2/2023	1/25/2023	Walter A. Rodriguez	careless riding		Suspended 3 days February 15 through February 17, 2023 (inclusive)		APPEALED
23-0008	2/3/2023	1/28/2023	Javier Padron-Barcenas	careless riding		Suspended 3 days February 8 through February 10, 2023 (inclusive)		Suspension served
23-0009	2/11/2023	2/10/2023	Jack Gilligan	careless riding		Suspended 3 days February 17, February 18 and February 22, 2023		Suspension served

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0010	2/16/2023	2/10/2023	Rafael M. Hernandez	careless riding		Suspended 3 days March 1 through March 3, 2023 (inclusive)		APPEALED (Appeal withdrawn April 1, 2023 and 5 day suspension served April 7, 8, 12, 13 and April 14, 2023)
23-0011	2/17/2023	1/27/2023	Waylon Cundiff	Disorderly conduct - abusive language and interference with commission employees		Fined	\$ 500	Paid
23-0012	3/1/2023	2/23/2023	Dionicio Perez	Disorderly conduct - dispay of temper in paddock prior to race 4 on February 23, 2023		Fined	\$ 250	Paid
23-0013	3/8/2023	2/23/2023	James M. Watkins	Caused the entry of a horse not in his care		Fined	\$ 2,000	Paid
23-0014	3/8/2023	2/23/2023	Jeffrey N. Perrin	Entered a horse without the authorization of the owner or trainer		Fined	\$ 500	Paid
23-0015	3/10/2023	3/9/2023	Samuel Bermudez	Failed to honor calls		Fined	\$ 100	Not Paid
23-0016	3/15/2023	3/10/2023	Gerardo Corrales	careless riding		Suspended 3 days March 23 through March 25, 2023 (inclusive)		APPEALED
23-0017	3/31/2023	3/24/2023	Carlo Vaccarezza	Violated regulation that governs scratches		Fined	\$ 500	Paid
23-0018	3/31/2023	3/11/2023	Michael E. Lauer	Violated regulation that governs scratches		Fined	\$ 1,000	Paid

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0019	4/1/2023	2/16/2023	Rafael M. Hernandez	Withdrew appeal of ruling #23-0010 issued February 16, 2023		Suspended 5 days - April 7, 8, 12, 13 and April 14, 2023		Suspension served
23-0020	4/1/2023	3/24/2023	Gerardo Corrales	careless riding		Suspended 3 days April 16, April 19 and April 20, 2023		Current suspension
23-0021	4/1/2023	1/6/2023	Brandi N. Hart aka Brandi N. Wood	Pending criminal charges (animal cruelty) and formal ejection from Turfway Park		Suspended pending appearance before Stewards		Current suspension
23-0022	4/4/2023	3/17/2023	Richard L. Connelley	Pending criminal charges (impersonating a peace officer)		Suspended pending appearance before Stewards		Current suspension
23-0023	4/6/2023	1/1/2023	Nelson Figueroa	Pending criminal charges (wanton endangerment) and formal ejection from Turfway Park		Suspended pending appearance before Stewards		Current suspension
23-0024	4/8/2023	3/17/2023	Aveory Faircloth	Possession of contraband		Suspended 60 days. 30 days to be served April 11 though May 10, 2023. Remaining 30 days stayed on the condition that no Class A or Class B medication violation occurs in any racing jurisdiction within 365 days of ruling.		Suspension served

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0025	4/12/2023	4/1/2023	Barbara J. Riley	Methocarbamol #R008025	С	Fined	\$ 500	Paid
23-0026	4/12/2023	4/1/2023	Barbara J. Riley, Toni Ouzts and David Mattingly (owners)	Methocarbamol #R008025	С	Future Victory disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0027	4/16/2023	4/16/2023	John Paul Southard	Disorderly conduct		Formal ejection from Keeneland for repeated alcohol abuse, impairment and obnoxious behavior.		Current suspension
23-0028	4/21/2023	10/7/2022	Chris M. Block	Temazepam #R007378	Α	Suspended 45 days, 20 days to be served May 7 though May 26, 2023. Remaining 25 days stayed on the condition that no Class A or Class B medication violation occurs in any racing jurisdiction within 365 days of ruling.	\$ 500	Suspension served - Fine Paid
23-0029	4/21/2023	10/7/2022	Kiki M. Courtelis`	Temazepam #R007378	Α	Knockyoursocksoff disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0030	4/16/2023	4/21/2023	Joseph Ramos	Failure to fulfill riding engagements		Fined	\$ 100	Paid
23-0031	4/21/2023	4/20/2023	Christopher Emigh	Failure to fulfill riding engagements		Fined	\$ 100	Paid

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FIN	E	STATUS
23-0032	4/21/2023	4/19/2023	Flavian Prat	careless riding		Suspended 3 days April 26 through April 28, 2023 (inclusive)			Suspension served
23-0033	4/23/2023	3/16/2023	Eric M. Ramaekers	Violated regulation that governs claiming - entered into an agreement to claim West Warpath on March 16, 2023		Fined	\$ 2	,500	Paid
23-0034	4/23/2023	3/16/2023	Pat J. Clay	Violated regulation that governs claiming - entered into an agreement to claim West Warpath on March 16, 2023		Fined	\$ 2	,500	Paid
23-0035	4/23/2023	4/3/2023	Jonathan Wong	Violated regulation that governs claiming - sold West Warpath within 30 days of claim		Fined	\$ 1	,000	Paid
23-0036	4/23/2023	4/3/2023	Clay R. Sides	Violated regulation that governs claiming - sold West Warpath within 30 days of claim		Fined	\$ 1	,000	Paid
23-0037	4/23/2023	4/21/2023	James Graham	careless riding		Suspended 3 days April 29, May 2 and May 3, 2023			Suspension served
23-0038	4/23/2023	4/22/2023	Eric N. Foster	Violated regulation that governs scratches - improper scratch of Johnny Unleashed on April 22, 2023		Fined	\$	500	Paid

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FIN	E	STATUS
23-0039	4/29/2023	3/10/2023	Gerardo Corrales	Withdrew appeal of ruling #23-0016 issued March 15, 2023		Suspended 5 days - May 11, 12, 13, 14, and May 18, 2023			Suspension served
23-0040	5/18/2023	5/6/2023	Irad Ortiz, Jr.	Failure to comply with the regulation that governs advertising		Fined	\$ 1,	.000	Paid
23-0041	6/1/2023	3/17/2023	Richard L. Connelley	Provided documentation of the disposition of criminal charges		Restored to good standing			Currently licensed
23-0042	6/18/2023	6/17/2023	Reyluis Gutierrez	careless riding		Suspended 3 days June 24, June 25 and June 29, 2023			Suspension served
23-0043	6/23/2023	4/27/2022	Gregory Romero	Failed to properly serve suspension imposed in ruling #22-0054 issued April 27, 2023		Suspended 3 days June 25, June 29 and June 30, 2023			Suspension served
23-0044	7/7/2023	7/1/2023	Martin Garcia	careless riding		Suspended 3 days July 16, July 21 and July 22, 2023			Suspension served
23-0045	7/15/2023	7/8/2023	Adam Beschizza	Failure to heed the loose horse warning signal while aboard a horse at Keeneland on the morning of July 8, 2023		Fined	\$	250	Fine Paid
23-0046	7/15/2023	7/8/2023	Grace McEntee	Failure to heed the loose horse warning signal while aboard a horse at Keeneland on the morning of July 8, 2023		Fined	\$	250	Paid

RULING	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	PENALTY OR ACTION	FINE	STATUS
NUMBER					CLASS			
23-0047	7/15/2023	7/8/2023	Jose E. Lopez	careless riding		Suspended 3 days July 15, July 16 and July 21, 2023		Suspension served
23-0048	7/31/2023	7/23/2023	Joseph Ramos	careless riding		Suspended 3 days August 12, August 13 and Augusts 18, 2023		Suspension served
23-0049	7/31/2023	7/30/2023	Christopher Emigh	Display of temper during the stretch run of the third race at Ellis Park on July 30, 2023		Suspended 3 days August 1, August 4 and Augusts 5, 2023		Suspension served
23-0050	8/1/2023	5/19/2023	Eric N. Foster	Flunixin #R013023	С	Fined	\$ 500	Paid
23-0051	8/1/2023	5/19/2023	Brooklyn N. Foster, et al (owners)	Flunixin #R013023	С	Stonevicious disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0052	8/5/2023	1/1/2023	Nelson Figueroa	Provided documentation of the disposition of criminal charges		Restored to good standing		Currently licensed
23-0053	8/5/2023	7/30/2023	Francisco Arrieta	careless riding		Suspended 3 days August 18 through August 20, 2023 (inclusive)		Suspension served
23-0054	8/15/2023	8/15/2023	Norman L. Cash	License Type Change		Rescinded O/T license without prejudice.		Issued Assistant Trainer license

RULING					DRUG				
NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	CLASS	PENALTY OR ACTION	l	FINE	STATUS
23-0055	8/26/2023	5/27/2022	Shawn E. Monroe	Falsification of license application - failed to reveal arrest history		Suspended through and including 12-31-23 and must appear in person before License Review Committee for any futire license consideration			Current suspension
23-0056	8/26/2023	4/28/2023	Elias Lopez	Mofebutazone #R009603	ARCI 4	Fined	\$	1,000	Fine Paid
23-0057	8/26/2023	4/28/2023	Dean Caras (owner)	Mofebutazone #R009603	ARCI 4	Flamand disqualified and purse redistributed. All purse monies must be returned to the association.			DQ and purse redistribution completed
23-0058	8/26/2023	5/6/2023	Philip J. D'Amato	Mofebutazone #R010263	ARCI 4	Fined	\$	1,000	Fine Paid
23-0059	8/26/2023		Scott Anastasi, Jimmy Ukegawa and Tony Valazza (owners)	Mofebutazone #R010263		Hong Kong Harry (IRE) disqualified and purse redistributed. All purse monies must be returned to the association.			DQ and purse redistribution completed
23-0060	8/27/2023	8/19/2023	Cirilo Gorostieta	Failure to subit pre-entry exam in a timely maner		Fined	\$	250	Paid
23-0061	8/27/2023	8/19/2023	Cirpriano Contreras	Failure to subit pre-entry exam in a timely maner		Fined	\$	250	Paid
23-0062	8/28/2023	8/27/2023	Keith J. Asmussen	careless riding		Suspended 3 days September 2, September 3 and September 7, 2023			Suspension served

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0063	9/20/2023	1/25/2023	Walter A. Rodriguez	Withdrew appeal of ruling #23-0007 issued February 2, 2023		Suspended 5 days - September 27 through October 1, 2023 (inclusive)		Suspension served
23-0064	9/20/2023	9/17/2023	Florent Geroux	careless riding		Suspended 3 days September 27 through September 29, 2023 (inclusive)		Suspension served
23-0065	9/28/2023	9/21/2023	Marco A. Cano	Overuse of the riding crop on the morning of September 21, 2023 at Churchill Down		Suspended 5 days - October 1 through October 5, 2023 (inclusive)		Suspension served
23-0066	9/28/2023	9/3/2023	Nathaniel A. Galloway a.k.a. Nathaniel Carr	Falsification of license application - failed to reveal arrest history		Suspended pending appearance before Stewards		Current suspension
23-0067	9/28/2023	9/13/2023	Pedro Pena	Positive human drug test		Suspended pending appearance before Stewards		Current suspension
23-0068	10/1/2023	9/21/2023	Martin Garcia	careless riding		Suspended 3 days October 14, October 15 and October 18, 2023		Suspension served
23-0069	10/1/2023	9/24/2023	Declan Cannon	careless riding		Suspended 3 days October 11 through October 13, 2023 (inclusive)		Suspension served
23-0070	10/6/2023	10/6/2023	Jason A. Barkley	License Type Change		Rescinded O/T license without prejudice.		Issued Jockey Agent license
23-0071	10/15/2023	10/10/2023	Stephen S. Lyster	Violated regulation that governs claiming - sold Puckered within 30 days of claim		Fined	\$ 1,000	Paid

2023 THOROUGHBRED ROEHINGS								
RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0072	11/1/2023	10/28/2023	Gerardo Corrales	Eased up on his mount without adequate case		Suspended 3 days November 8 through Noveber 10, 2023 (inclusive)		Suspension served
23-0073	11/1/2023	11/1/2023	Ruben H. Munoz	Failed to honor the call on ROYAL SPA for November 8, 2023		Fined	\$ 25	0 Not Paid
23-0074	11/22/2023	11/18/2023	Fausto Gutierrez	Violated the regulation that governs scratches		Fined	\$ 50	00 Paid
23-0075	12/15/2023	12/13/2023	Joseph D. Ramos	careless riding		Suspended 3 days December 27 through December 29, 2023 (inclusive)		Suspension served
23-0076	9/20/2023	12/16/2023	Luis E. Seglin	Possession of contraband		30 day suspension stayed in full on the condition that no similar violation or no Class A or Class B medication violation occurs within 365 days.		Licensee in good standing
23-0077	12/23/2023	12/22/2023	Gavin Ashton	careless riding		Suspended 3 days December 30, January 3 and January 4, 2024		Suspension served

## 2024 TB RULINGS LIST BY CATEGORY (Through February 13, 2024)

## MEDICATION VIOLATIONS

Medication Class	<u>Total</u>	<u>Fines</u>	Suspensions	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	0	0	0	0	0	
Class B	0	0	0	0	0	
Class C	0	0	0	0	0	
Class D	0	0	0	0	0	
NSAIDS	0	0	0	0	0	
Multiple NSAIDS	0	0	0	0	0	
TCO2	0	0	0	0	0	
Subtotal	0	<b>\$0</b>				
NON-MEDICATION VIOLATION	<u>s</u>					
Jockey Violations						
Careless Riding	7	0	7	0	0	Routine riding offense
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	2	2	0	0	0	Failure to fulfill riding engagement, failure to appear for race replay review
Subtotal	9	\$200				
Other Licensee Violations						
		_	_	_		- 15
Misc. violations by licensees	1	1	0	0	0	Falsification of license application
Disorderly conduct and formal ejections	2	1	1	0	0	Tampered with human drug test, failure to heed directive of outriders
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	3	\$600				
Other Rulings Issued	1	0	0	0	0	The remaining ruling that has been issued in 2024 is for information only
TOTAL 2024 RULINGS	13	\$800				and includes purse redistribution

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FII	NE	STATUS	
24-0001	1/4/2024	12/27/2023	Gerardo Corrales	careless riding		Suspended 3 days January 17 through January 19, 2024 (inclusive)			Suspension served	
24-0002	1/4/2024	12/30/2023	Joseph D. Ramos	Failed to fulfill riding engagement		Fined	\$	100	Paid	
24-0003	1/5/2024	1/3/2024	Adam Beschizza	careless riding		Suspended 3 days January 20, January 24 and January 25, 2024			Suspension served	
24-0004	1/6/2024	1/6/2024	Rigoberto Quinonez	failure to submit a valid urine sample (tampered with an ordered drug test)		Suspended pending appearance before Stewards			Current suspension	
24-0005	1/10/2024	12/30/2023	Gerardo Corrales	careless riding		Suspended 3 days January 24 through January 26, 2024 (inclusive)			Suspension served	
24-0006	1/13/2024	1/12/2024	Joseph Rocco, Jr.	careless riding		Suspended 3 days January 24 through January 26, 2024 (inclusive)			Suspension served	
24-0007	1/27/2024	1/27/2024	Walter A. Rodriguez	Failed to appear for race replay review		Fined	\$	100	Not Paid	
24-0008	2/1/2024	2/1/2024	Natanael Puello	failed to follow directive of outriders and display of temper		Fined	\$	100	Not Paid	
24-0009	2/2/2024	1/4/2024	Owner Albert P. Abdala and Trainer Bernard G. Dunham	Participation of ineligible horse		MAGNOLIA WIND disqualified and purse redistributed. All purse monies must be returned to the association.			DQ and purse redistribution completed	

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	ļ	FINE	STATUS	
24-2010	2/8/2024	1/1/2024	Cirilo Gorostieta	Falsification of license application		Fined	\$	500	Not Paid	
24-0011	2/8/2024	2/2/2024	Gerardo Corrales	careless riding		Suspended 5 days Febuary 21 through Febuary 24, 2024 (inclusive) and February 28, 2024			Current suspension	
24-0012	2/10/2024	2/8/2024	Declan Cannon	careless riding		Suspended 3 days Febuary 21 through Febuary 23, 2024 (inclusive)			Current suspension	
24-0013	2/10/2024	2/8/2024	Axel Concepcion	careless riding		Suspended 3 days Febuary 17, February 21 and Febuary 22, 2024			Current suspension	

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	LICENSE CATEGORY	COMMENTS	PENALTY/ ACTION	FINE	STATUS
	4/23/2023	4/23/2023	Gary Campbell	O/T/D		excessive or indiscriminate use of the whip	\$100	paid
	5/1/2023	5/1/2023	Tyler Shehan	O/T/D		excessive or indiscriminate use of the whip	\$100	paid
	5/1/2023	5/1/2023	Tyler Shehan	O/T/D	second offense	excessive or indiscriminate use of the whip	\$300	paid
2023-OG-9	5/1/2023	5/1/2023	Devon Tharpes	T/D		disturbing the peace	\$100	paid
	5/15/2023	5/15/2023	Randy Crisler	O/T/D		using other than wrist/elbow	\$100	paid
2023-OG-13	5/15/2023	5/15/2023	Joey Putnam	O/T/D		using other than wrist/elbow	\$100	paid
2023-OG-4	5/21/2023	5/21/2023	James Stiltner	O/T/D		using other than wrist/elbow	\$100	paid
	5/21/2023	5/21/2023	Cornelius Cavett	O/T/D		excessive or indiscriminate use of the whip	\$100	paid
	5/27/2023	5/27/2023	Carson Conrad	T/D		excessive or indiscriminate use of the whip	\$100	paid
2023-OG-5	5/28/2023	5/28/2023	Archie Buford	O/T/D		excessive or indiscriminate use of the whip	\$100	paid
	5/28/2023	5/28/2023	Jamal Denson	O/T/D		excessive or indiscriminate use of the whip	\$100	paid
	6/4/2023	6/4/2023	Deshawn Horton	O/T/D	fighting in paddock	conduct detrimental to the best interest of horse racing	suspended 30 days and placed on probation for remainder of year	suspension served

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	LICENSE CATEGORY	COMMENTS	PENALTY/ ACTION	FINE	STATUS
	6/4/2023	6/4/2023	Jordan Patton	O/T/D	fighting in paddock	conduct detrimental to the best interest of horse racing	suspended 30 days and placed on probation for remainder of year	suspension served
	6/10/2023	6/10/2023	Archie Buford	O/T/D		crowding/putting a wheel under another horse or driver	3 day suspension	suspension served
	6/11/2023	6/11/2023	Derek Burklund	О/Т	trainer must be stabled on association grounds to race in Kentucky	suspended 38 days for failure to cooperate with KHRC investigators during an investigation of alleged trainer impropriety		suspension served
2023-OG-19	7/2/2023	7/2/2023	Dale L. Knox	O/T/D		excessive or indiscriminate use of the whip	\$100	paid
	7/2/2023	7/2/2022	Carson Conrad	T/D		excessive or indiscriminate use of the whip	\$100	paid
J2023032	8/17/2023	8/15/2023	Joseph D. Putnam	T/D		failure to drive when programmed	\$50	paid
J2023022	8/14/2023	7/30/2023	Trent P Stohler	O/T		failure to provide accurate or proper declaration information	\$500	paid
J2023-023	8/14/2023	8/8/2023	Marvin Luna	D		excessive or indiscriminate use of the whip leaving welts	\$300	paid

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	LICENSE CATEGORY	COMMENTS	PENALTY/ ACTION	FINE	STATUS
J2023-024	8/16/2023	8/15/2023	Andy R. Miller	O/T/D		failure to drive when programmed	\$50	paid
J2023-025	8/17/2023	8/15/2023	Andy R. Miller	O/T/D		failure to drive when programmed	\$50	paid
J2023-027	8/22/2023	8/13/2023	Jeffrey Brewer	О/Т		brought wrong horse to the paddock necessitating a late scratch	\$200	paid
J2023-028	8/22/2023	8/15/2023	Marvin Luna	D	driver was in the confines of the whipping rule	excessive or indiscriminate use of the whip leaving welts	\$300	paid
J2023-029	9/3/2023	8/28/2023	Geremy Bobbitt	D		using whip in an unconventional manner between the horses legs	\$200	paid
J2023-030	8/29/2023	8/27/2023	James Stiltner, II	D		using whip in an unconventional manner between the horses legs	\$200	paid
J2023-031	8/29/2023	8/27/2023	Patrick Curtin	D		excessive or indiscriminate use of the whip	\$200	paid
J2023-032	8/17/2023	8/15/2023	Joseph D. Putnam	D		failure to drive when programmed	\$50	paid
J2023-033	8/29/2023	8/27/2023	Jamaal Denson	D		using other than wrist and elbow while whipping	\$200	paid

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	LICENSE CATEGORY	COMMENTS	PENALTY/ ACTION	FINE	STATUS
J2023-034	9/11/2023	8/31/2023	Brandon Bates	D	must appear before the KY the KY Presiding Judge before 2024 licensing	license suspended indefinately reciprocity of suspension by the Indiana Racing Commission.	restored to good standing pending Judges hearing	
J2023-035	9/12/2023	9/5/2023	Anette Lorentzon	O/T		failure to honor declaration scratched horse to race in another jurisdiction	\$300	paid
J2023-036	9/12/2023	9/10/2023	Trevor G Smith	D		failure to drive when programmed	\$150	paid
J2023-037	9/18/2023	9/5/2023	David S Miller	D		excessive use of the whip leaving welts	\$500	paid
J2023-038	9/26/2023	9/19/2023	Curtis Hill, Jr.	O/T		several driver changes during Red Mile meet	\$200	paid
J2023-039	9/26/2023	9/18/2023	Andrew J McCarthy	D		excessive use of the whip leaving welts	\$500	paid
J2023-040	9/29/2023	9/19/2023	Yannick Gingras	D		excessive use of the whip leaving welts	\$500	paid
J2023-041	10/15/2023	10/8/2023	Mark J MacDonald	D		using other than wrist and elbow while whipping	\$500	paid
J2023-042	10/13/2023	8/16/2023	Gina Dailey	0		Entering backside of RM to draw blood from Diamond Creek Farm horses	suspended 70 days 10/23/2023- 12/31/2023 and placed on probation for 2024	

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	LICENSE CATEGORY	COMMENTS	PENALTY/ ACTION	FINE	STATUS
J2023-043	10/16/2023	8/16/2023	Adam S Bowden	0		Full responsibility for actions of Diamond Creek Farm employee	\$5,000	paid
J2023-44	10/23/2023	9/23/2023	Ake Svanstedt	D/T		Failure to drive when programmed	\$100	paid
J2023-45	10/22/2023	9/30/2023	Andrew J McCarthy	D		Failure to drive when programmed 9 races	\$450	paid
J2023-46	11/7/2023	10/30/2023	Marvin Luna	О		excessive use of the whip leaving welts third violation	\$500 fine and five day suspension	fine paid and suspension served
J2023-47	11/7/2023	10/27/2023	Brandon Bates	D		license restored w/out prejudice, must appear for Judges hearing for 2024 licensing		
J2023-48	11/7/2023	10/31/2023	Tyler Shehan	D		violated previous whipping violation and is suspended 20 days		suspension served

## **KHRC Veterinary Report**

## **Turfway Park Holiday Meet 2023**

Total Starts		1831
Post Race Sa		420
tCO <sub>2</sub>		167
Veterinary Scratches		62
Pre-Race		43
On-Track		19
	Soundness related	12
	Gate/paddock Injury	7
KHRC Veterinary List		84
Scratches		62
Race Injuries	/post race lame/test barn lame	21
EIPH		1
Heat Distress	S	0
Claimed horses		169
Voided Claims		17
Ambulance Runs		5
Racing Fatalities		2
Musculo-skele	etal	1
Gate Incident		1
Training Fatalities		0

## Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (incompass)	Fatalities per 1,000 starts
	Musculoskeletal	2	1	5	2	4	3	4	2	2	3	4	5	37		1.60
2007	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1	23,180	0.04
	TOTAL	2	1	5	2	4	3	4	2	2	4	4	5	38		1.64
	Musculoskeletal	3	0	4	2	3	2	2	2	3	2	4	8	35		1.57
2008	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	22,278	0.00
	TOTAL	3	0	4	2	3	2	2	2	3	2	4	8	35	]	1.57
		•	•			•	•		•	•	•	•	•			
	Musculoskeletal	3	5	1	2	4	1	2	4	3	3	2	3	33		1.64
2009	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	20,142	0.00
	TOTAL	3	5	1	2	4	1	2	4	3	3	2	3	33	1	1.64
		•	•			•	•		•	•	•	•	•	•		
	Musculoskeletal	2	1	2	0	0	2	4	2	1	3	7	1	25		1.38
2010	Non-musculoskeletal	0	0	1	1	0	0	0	0	0	0	0	0	2	18,167	0.11
	TOTAL	2	1	3	1	0	2	4	2	1	3	7	1	27	1	1.49
		•	•	•		•			•	•	•		•	•		
	Musculoskeletal	2	2	1	1	2	5	1	3	2	5	3	1	28		1.53
2011	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1	18,258	0.05
	TOTAL	2	2	1	1	2	5	1	3	3	5	3	1	29	1	1.59
									•		•			•		
	Musculoskeletal	0	0	1	2	9	2	0	2	0	3	5	3	27		1.54
2012	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1	17,513	0.06
	TOTAL	0	0	1	2	9	2	0	2	0	4	5	3	28		1.60

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (incompass)	Fatalities per 1,000 starts
	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22		1.35
2013	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1	16,242	0.06
	TOTAL	2	2	0	0	1	2	1	5	4	1	1	3	23		1.42
	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16		1.11
2014	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	14,460	0.00
	TOTAL	2	2	0	0	3	0	1	1	1	3	3	0	16		1.11
	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21		1.35
2015	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2	15,514	0.13
	TOTAL	3	1	1	0	3	3	1	2	3	3	3	1	23		1.48
	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23		1.39
2016	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3	16,495	0.18
	TOTAL	0	2	0	4	4	5	2	0	1	2	5	1	26		1.58
	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18		1.20
2017	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2	15,032	0.13
	TOTAL	1	2	1	2	1	5	0	1	2	3	2	0	20		1.33
	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32		2.13
2018	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4	15,049	0.27
	TOTAL	2	1	1	4	4	1	6	1	7	1	4	4	36		2.39
	Musculoskeletal	0	1	1	4	1	0	0	1	1	5	2	3	19		1.23
2019	Non-musculoskeletal	0	0	0	0	0	2	0	1	0	0	0	0	3	15,456	0.19
	TOTAL	0	1	1	4	1	2	0	2	1	5	2	3	22		1.42

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (incompass)	Fatalities per 1,000 starts
	Musculoskeletal	1	0	1	0	1	0	0	3	4	1	4	1	16		1.15
2020	Non-musculoskeletal	0	0	3	0	0	0	0	0	0	0	0	0	3	13,872	0.22
	TOTAL	1	0	4	0	1	0	0	3	4	1	4	1	19	1	1.37
						•				•	•					
	Musculoskeletal	2	1	0	0	1	2	1	1	4	3	2	2	19		1.29
2021	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	14,676	0
	TOTAL	2	1	0	0	1	2	1	1	4	3	2	2	19	1	1.29
			•							•		•				_
	Musculoskeletal	0	1	0	1	2	1	2	2	0	1	3	0	13		0.82
2022	Non-Musculoskeletal	0	1	0	0	0	1	0	0	0	0	0	0	2	15,913	0.13
	TOTAL	0	2	0	1	2	2	2	2	0	1	3	0	15		0.94
						•										
	Musculoskeletal	1	2	3	3	8	1	1	0	0	1	2	1	23		1.38
2023	Non-Musculoskeletal	0	0	0	1	1	0	0	0	0	0	0	0	2	16,699	0.12
	TOTAL	1	2	3	4	9	1	1	0	0	1	2	1	25		1.49
	Musculoskeletal	0												0		0
2024	Non-Musculoskeletal	1												1	1,275	0.78
	TOTAL	1												1		0.78

1 2 3 4

## Pari-Mutuel Wagering for December 2023

Select Period - Month December 2023

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

#### On-Track Wagers on Live Horse Racing

						Licensee					
	Churchill Downs Racetrack, LLC	ECL Corbin, LLC	Ellis Entertainment, Kr LLC	eeneland Association, Inc.	Kentucky Downs, LLC	Lexington Trots Breeders Association, LLC	Newport Racing and Garning	Revolutionary Racing Kentucky, LLC	Turfway Park, LLC	WKY Development, LLC	Grand Total
Race Dates	0	0	0	0	0	0	0	0	18	0	18
On-Track Handle	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,016,104	\$0	\$1,016,104
Return to Public	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$727,532	\$0	\$727,532
Commission	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$195,671	\$0	\$195,671
Settlement	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$92,277	\$0	\$92,277
Breakage	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$630	\$0	\$630
Minus Pools	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	(\$6)	\$0	(\$6)
Daily Average Live Handle									\$56,450		\$56,450
Backside Improvement Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$5,081	\$0	\$5,081
Excise Tax	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$15,242	\$0	\$15,242
Thoroughbred Development Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$7,621	\$0	\$7,621
Standardbred Development Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Quarter Horse Development Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Equine Drug Research	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,016	\$0	\$1,016
Higher Ed Equine Trust	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,016	\$0	\$1,016
Equine Industry Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,032	\$0	\$2,032
General Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,556	\$0	\$3,556

#### Simulcast Wagers on Interstate and Intertrack Live Horse Racing

	Churchill Downs Ra	acetrack, LLC	ECL Corbin, LLC	Ellis Entertainment, LLC	Keeneland Association, Inc.	Kentucky Downs, LLC	Lexington Trots Breeders Association, LLC	Newport Racing and Garning	Revolutionary Racing Kentucky, LLC	Turfway Park, LLC	WKY Development, LLC	Grand Total
	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Ellis Park Racetrack	Keeneland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Newport Racing and Garning	Revolutionary Racing Kentucky, LLC	Turfway Park Racetrack	Oak Grove Racetrack	Grand Total
Off-Track Handle (Simulcast)	\$1,622,285	\$9,096	\$73,391	\$744,549	\$1,726,503	\$816,708	\$1,122,120	\$194,860	\$95,369	\$783,026	\$206,833	\$7,394,741
Thoroughbred	\$1,556,952	\$8,988	\$68,143	\$687,072	\$1,726,503	\$742,611	\$48	\$187,054	\$77,462	\$752,472	\$172,522	\$5,979,827
Standardbred	\$58,530	\$108	\$5,064	\$57,478	\$0	\$66,748	\$1,117,239	\$7,806	\$17,752	\$30,554	\$31,398	\$1,392,676
Quarter Horse	\$6,803	\$0	\$174	\$0	\$0	\$6,186	\$4,832	\$0	\$146	\$0	\$2,913	\$21,054
All Other Breeds	\$0	\$0	\$10	\$0	\$0	\$1,164	\$0	\$0	\$9	\$0	\$0	\$1,183
Excise Tax - Simulcast	\$24,334	\$0	\$0	\$11,168	\$25,898	\$12,251	\$0	\$0	\$0	\$11,745	\$0	\$85,396
Thoroughbred Development Fund	\$15,570	\$0	\$0	\$6,871	\$17,265	\$7,426	\$0	\$0	\$775	\$7,525	\$0	\$55,431
Standardbred Development Fund	\$585	\$0	\$0	\$575	\$0	\$667	\$0	\$0	\$178	\$306	\$0	\$2,311
Quarter Horse Development Fund	\$68	\$0	\$0	\$0	\$0	\$73	\$0	\$0	\$2	\$0	\$0	\$143
Equine Drug Research	\$811	\$0	\$0	\$372	\$863	\$408	\$0	\$0	\$0	\$392	\$0	\$2,847
Higher Education Equine Trust	\$811	\$0	\$0	\$372	\$863	\$408	\$0	\$0	\$0	\$392	\$0	\$2,847
Equine Industry Programs	\$406	\$0	\$0	\$186	\$432	\$204	\$0	\$0	\$0	\$196	\$0	\$1,423
General Fund	\$6,084	\$0	\$0	\$2,792	\$6,474	\$3,063	\$0	\$0	(\$954)	\$2,936	\$0	\$20,395

Select Period - Month December 2023

## Pari-Mutuel Wagering for December 2023

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT
TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

#### On-Track Wagers on Historical Horse Racing

							Licensee / Facility						
	Churchill Downs	Racetrack, LLC	ECL Corbi	n, LLC	Ellis Entertainment, LLC	Kentucky Do	wns, LLC	Lexington Trots Breeders Association, LLC	Revolutionary Racing Kentucky, LLC	Turfway Par	k, LLC	WKY Development, LLC	Grand Total
	Derby City Gaming	Derby City Gaming Downtown	Corbin	Williamsburg	Henderson	Bowling Green	Franklin	Red Mile	Sandy's Racing and Gaming	Newport	Turfway Park	Oak Grove	Grand Total
On-Track Handle	\$206,098,414	\$21,356,301	\$2,378,799	\$38,267,192	\$22,246,214	\$43,177,428	\$161,895,989	\$85,780,655	\$32,079,972	\$31,171,291	\$60,364,173	\$153,219,431	\$858,035,861
Return to Public	\$187,741,867	\$19,090,775	\$2,105,398	\$34,246,130	\$20,033,238	\$38,921,140	\$147,052,512	\$78,109,901	\$29,131,746	\$28,139,698	\$54,532,556	\$139,707,010	\$778,811,972
Pool Growth	(\$129,032)	\$250,478	\$30,834	\$259,041	\$128,393	\$225,760	\$595,814	\$186,953	\$138,783	\$141,172	\$66,096	(\$186,717)	\$1,707,574
Breakage	\$0	\$74	\$9	\$765	\$573	\$465	\$4,436	\$6,859	\$583	\$0	\$0	\$0	\$13,764
Gross Commission - Win	\$18,485,580	\$2,014,974	\$242,559	\$3,761,255	\$2,084,011	\$4,030,062	\$14,243,228	\$7,476,944	\$2,808,860	\$2,890,421	\$5,765,521	\$13,699,138	\$77,502,554
Excise Tax - HHR	\$3,091,476	\$320,345	\$35,682	\$574,008	\$333,693	\$647,661	\$2,428,440	\$1,286,710	\$481,200	\$467,569	\$905,463	\$2,298,291	\$12,870,538
Thoroughbred Dev. Fund	\$1,545,738	\$160,172	\$0	\$0	\$166,847	\$323,831	\$1,214,220	\$321,677	\$0	\$233,785	\$452,731	\$574,573	\$4,993,574
Standardbred Dev. Fund	\$0	\$0	\$23,788	\$382,672	\$0	\$0	\$0	\$428,903	\$0	\$0	\$0	\$766,097	\$1,601,460
Quarter Horse Dev. Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$320,800	\$0	\$0	\$0	\$320,800
General Fund and Other	\$4,507,197	\$480,517	\$35,682	\$574,008	\$166,847	\$647,661	\$2,428,440	\$536,129	\$160,400	\$233,785	\$452,731	\$957,621	\$11,181,018
Adj. Commission - Win	\$15,394,103	\$1,694,630	\$206,877	\$3,187,247	\$1,750,318	\$3,382,401	\$11,814,788	\$6,190,234	\$2,327,661	\$2,422,852	\$4,860,059	\$11,400,847	\$64,632,016
Avg. Terminal Count	1,301	460	50	448	300	445	1,107	835	654	458	839	1,230	122,798
Adj. Commission - Win/Unit	\$11,831	\$3,685	\$4,103	\$7,121	\$5,831	\$7,593	\$10,677	\$7,412	\$3,557	\$5,290	\$5,796	\$9,265	\$526

#### Advance Deposit Account Wagering

	Licensee														
	AmWest Entertainment	eBet Technologies	Lien Games Racing	NYRABets	TVG	Twinspires	WatchandWager.com	Xpressbet	Grand Total						
Total Handle	\$94,622	\$41,520	\$62,520	\$703,073	\$7,476,287	\$10,365,328	\$14,128	\$1,989,685	\$20,747,162						
In-State Races	\$24	\$2,620	\$0	\$127,048	\$1,412,229	\$2,280,125	\$3,359	\$325,903	\$4,151,307						
Out-of-State Races	\$94,598	\$38,900	\$62,520	\$576,025	\$6,064,058	\$8,085,204	\$10,769	\$1,663,782	\$16,595,855						
Excise Tax - ADW	\$1,419	\$623	\$938	\$10,546	\$112,144	\$155,480	\$212	\$29,845	\$311,207						
Host Track - Purses	\$603	\$265	\$399	\$4,482	\$47,661	\$66,079	\$90	\$12,684	\$132,263						
Host Tracks	\$603	\$265	\$399	\$4,482	\$47,661	\$66,079	\$90	\$12,684	\$132,263						
General Fund	\$213	\$93	\$141	\$1,582	\$16,822	\$23,322	\$32	\$4,477	\$46,681						

#### Total Distributions by Account

The General Fund line includes amounts that are deposited to various accounts. These caps are calculated from deposits resulting from the excise tax for conducting pari-mutuel wagering on historical horse racing. Since these amounts are calculated as a whole and not per licensee, a one-time transfer will be made once the caps are met within the fiscal year. At the end of the fiscal year, approximately \$2M must be deducted from this line in order to determine the actual amount retained by the General Fund. Please contact the Department of Revenue with any questions regarding these transfers.

Total Excise Tax	\$18,187,897
Equine Drug Research	\$3,863
Equine Industry Program	\$3,455
Higher Education Program	\$3,863
K. Thoroughbred Development Fund	\$5,056,625
K. Standardbred Development Fund	\$1,603,771
K. Quarter Horse Development Fund	\$143
Host Track - Purses	\$132,263
Host Tracks	\$132,263
General Fund (See Note on Caps)	\$11,251,650
Backside Improvement Fund	\$5,081

Select Period - Fiscal Year FY 2024

## Pari-Mutuel Wagering for FY 2024 (YTD)

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

#### On-Track Wagers on Live Horse Racing

	Licensee												
	Churchill Downs Racetrack, LLC	ECL Corbin, LLC	Ellis Entertainment, LLC	Keeneland Association, Inc.	Kentucky Downs, LLC	Lexington Trots Breeders Association, LLC	Newport Racing and Garning	Revolutionary Racing Kentucky, LLC	Turfway Park, LLC	WKY Development, LLC	Grand Total		
Race Dates	39	12	24	17	7	33	0	0	20	5	157		
On-Track Handle	\$8,806,964	\$36,997	\$2,569,884	\$16,270,885	\$1,887,813	\$1,062,341	\$0	\$0	\$1,116,677	\$42,113	\$31,793,673		
Return to Public	\$6,485,010	\$26,766	\$1,953,798	\$12,440,543	\$1,376,480	\$827,703	\$0	\$0	\$795,905	\$35,984	\$23,942,190		
Commission	\$1,692,393	\$7,396	\$495,512	\$2,923,899	\$341,273	\$216,984	\$0	\$0	\$214,892	\$8,955	\$5,901,305		
Settlement	\$623,774	\$2,866	\$118,748	\$893,996	\$168,970	\$20,834	\$0	\$0	\$105,188	(\$2,861)	\$1,931,515		
Breakage	\$7,380	\$98	\$2,169	\$12,685	\$1,430	\$693	\$0	\$0	\$698	\$45	\$25,198		
Minus Pools	(\$1,593)	(\$130)	(\$343)	(\$239)	(\$340)	(\$3,872)	\$0	\$0	(\$6)	(\$10)	(\$6,535)		
Daily Average Live Handle	\$225,820	\$3,083	\$107,079	\$957,111	\$269,688	\$32,192			\$55,834	\$8,423	\$202,507		
Backside Improvement Fund	\$44,035	\$0	\$12,849	\$81,354	\$9,439	\$0	\$0	\$0	\$5,583	\$0	\$153,261		
Excise Tax	\$132,104	\$555	\$38,548	\$244,063	\$28,317	\$15,935	\$0	\$0	\$16,750	\$632	\$476,905		
Thoroughbred Development Fund	\$66,052	\$0	\$19,274	\$122,032	\$14,159	\$0	\$0	\$0	\$8,375	\$0	\$229,892		
Standardbred Development Fund	\$0	\$370	\$0	\$0	\$0	\$10,623	\$0	\$0	\$0	\$421	\$11,415		
Quarter Horse Development Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
Equine Drug Research	\$8,807	\$37	\$2,570	\$16,271	\$1,888	\$1,062	\$0	\$0	\$1,117	\$42	\$31,794		
Higher Ed Equine Trust	\$8,807	\$37	\$2,570	\$16,271	\$1,888	\$1,062	\$0	\$0	\$1,117	\$42	\$31,794		
Equine Industry Programs	\$17,614	\$74	\$5,140	\$32,542	\$3,776	\$2,125	\$0	\$0	\$2,233	\$84	\$63,587		
General Fund	\$30,824	\$37	\$8,995	\$56,948	\$6,607	\$1,062	\$0	\$0	\$3,908	\$42	\$108,424		

#### Simulcast Wagers on Interstate and Intertrack Live Horse Racing

	Churchill Downs R	Racetrack, LLC	ECL Corbin, LLC	Ellis Entertainment, LLC	Keeneland Association, Inc.	Kentucky Downs, LLC	Lexington Trots Breeders Association, LLC	Newport Racing and Gaming	Revolutionary Racing Kentucky, LLC	Turfway Park, LLC	WKY Development, LLC	
	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Ellis Park Racetrack	Keeneland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Newport Racing and Gaming	Revolutionary Racing Kentucky, LLC	Turfway Park Racetrack	Oak Grove Racetrack	Grand Total
Off-Track Handle (Simulcast)	\$10,093,450	\$83,325	\$606,761	\$5,246,243	\$12,645,786	\$5,767,265	\$7,960,626	\$194,860	\$226,246	\$4,840,247	\$1,044,292	\$48,709,099
Thoroughbred	\$9,823,356	\$80,601	\$565,037	\$4,863,005	\$12,594,770	\$5,309,354	\$171	\$187,054	\$190,851	\$4,681,821	\$831,121	\$39,127,142
Standardbred	\$224,084	\$1,894	\$34,739	\$361,302	\$0	\$382,482	\$7,912,254	\$7,806	\$34,423	\$124,726	\$188,194	\$9,271,904
Quarter Horse	\$46,010	\$830	\$6,302	\$21,916	\$51,016	\$67,937	\$48,095	\$0	\$957	\$33,700	\$24,977	\$301,739
All Other Breeds	\$0	\$0	\$682	\$19	\$0	\$7,492	\$105	\$0	\$9	\$0	\$0	\$8,307
Excise Tax - Simulcast	\$151,402	\$0	\$0	\$78,694	\$189,687	\$86,509	\$0	\$0	\$0	\$72,604	\$0	\$578,895
Thoroughbred Development Fund	\$98,234	\$0	\$0	\$48,630	\$125,948	\$53,094	\$0	\$0	\$1,909	\$46,818	\$0	\$374,632
Standardbred Development Fund	\$2,241	\$0	\$0	\$3,613	\$0	\$3,825	\$0	\$0	\$344	\$1,247	\$0	\$11,270
Quarter Horse Development Fund	\$460	\$0	\$0	\$219	\$510	\$754	\$0	\$0	\$10	\$337	\$0	\$2,291
Equine Drug Research	\$5,047	\$0	\$0	\$2,623	\$6,323	\$2,884	\$0	\$0	\$0	\$2,420	\$0	\$19,296
Higher Education Equine Trust	\$5,047	\$0	\$0	\$2,623	\$6,323	\$2,884	\$0	\$0	\$0	\$2,420	\$0	\$19,296
Equine Industry Programs	\$2,523	\$0	\$0	\$1,312	\$3,161	\$1,442	\$0	\$0	\$0	\$1,210	\$0	\$9,648
General Fund	\$37,850	\$0	\$0	\$19,673	\$47,422	\$21,627	\$0	\$0	(\$2,262)	\$18,151	\$0	\$142,461

Select Period - Fiscal Year FY 2024

#### Pari-Mutuel Wagering for FY 2024 (YTD)

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

#### On-Track Wagers on Historical Horse Racing

						ı	Icensee / Facility						
	Churchill Downs	Racetrack, LLC	ECL Corbin	, LLC	Ellis Entertainment, LLC	Kentucky Do	wns, LLC	Lexington Trots Breeders Association, LLC	Revolutionary Racing Kentucky, LLC	Turfway Pa	rk, LLC	WKY Development, LLC	Grand Total
	Derby City Gaming	Derby City Gaming Downtown	Corbin	Williamsburg	Henderson	Bowling Green	Franklin	Red Mile	Sandy's Racing and Gaming	Newport	Turfway Park	Oak Grove	
On-Track Handle	\$1,178,979,928	\$21,356,301	\$15,007,334	\$220,281,032	\$135,898,124	\$242,350,879	\$911,864,141	\$482,659,001	\$72,163,421	\$168,433,680	\$326,176,999	\$855,789,001	\$4,630,959,840
Return to Public	\$1,071,934,833	\$19,090,775	\$13,346,401	\$197,848,560	\$122,899,763	\$219,208,666	\$831,266,381	\$439,551,053	\$65,510,585	\$152,099,817	\$294,591,354	\$778,479,553	\$4,205,827,739
Pool Growth	\$793,025	\$250,478	\$124,413	\$797,511	\$357,785	\$573,719	\$1,448,374	\$1,033,875	\$314,987	\$616,724	\$413,542	\$785,938	\$7,510,372
Breakage	\$0	\$74	\$114	\$4,229	\$2,988	\$2,276	\$34,174	\$38,118	\$1,188	\$0	\$0	\$0	\$83,161
Gross Commission - Win	\$106,252,070	\$2,014,974	\$1,536,405	\$21,630,733	\$12,637,587	\$22,566,219	\$79,115,214	\$42,035,964	\$6,336,660	\$15,717,139	\$31,172,103	\$76,523,511	\$417,538,579
Excise Tax - HHR	\$17,684,699	\$320,345	\$225,110	\$3,304,215	\$2,038,472	\$3,635,263	\$13,677,962	\$7,239,885	\$1,082,451	\$2,526,505	\$4,892,655	\$12,836,835	\$69,464,398
Thoroughbred Dev. Fund	\$8,842,349	\$160,172	\$0	\$0	\$1,019,236	\$1,817,632	\$6,838,981	\$1,809,971	\$0	\$1,263,253	\$2,446,327	\$3,209,209	\$27,407,130
Standardbred Dev. Fund	\$0	\$0	\$150,073	\$2,202,810	\$0	\$0	\$0	\$2,413,295	\$0	\$0	\$0	\$4,278,945	\$9,045,124
Quarter Horse Dev. Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$721,634	\$0	\$0	\$0	\$721,634
General Fund and Other	\$13,936,986	\$480,517	\$197,832	\$2,969,653	\$1,019,236	\$3,635,263	\$13,677,962	\$3,016,619	\$360,817	\$1,263,253	\$2,446,327	\$5,348,681	\$48,353,146
Adj. Commission - Win	\$88,567,371	\$1,694,630	\$1,311,295	\$18,326,517	\$10,599,115	\$18,930,956	\$65,437,252	\$34,796,079	\$5,254,209	\$13,190,634	\$26,279,448	\$63,686,676	\$348,074,182

#### Advance Deposit Account Wagering

					Licensee				
	A March Entertailment	- Pot Tooksolooloo	Lieu Comos Books	MADADA	710	Total colors	Watehander	Versenhet	Count Total
	AmWest Entertainment	eBet Technologies	Lien Games Racing	NYRABets	TVG	Twinspires	WatchandWager.com	Xpressbet	Grand Total
Total Handle	\$1,100,417	\$292,277	\$705,674	\$6,012,394	\$55,533,339	\$86,145,081	\$185,425	\$19,716,359	\$169,690,966
In-State Races	\$83,811	\$33,606	\$33,403	\$1,307,431	\$13,094,016	\$25,627,558	\$44,584	\$6,549,716	\$46,774,125
Out-of-State Races	\$1,016,606	\$258,671	\$672,270	\$4,704,962	\$42,439,323	\$60,517,523	\$140,841	\$13,166,643	\$122,916,840
Excise Tax - ADW	\$16,506	\$4,384	\$10,585	\$90,186	\$833,000	\$1,292,176	\$2,781	\$295,745	\$2,545,364
Host Track - Purses	\$7,015	\$1,863	\$4,499	\$38,329	\$354,025	\$549,175	\$1,182	\$125,692	\$1,081,780
Host Tracks	\$7,015	\$1,863	\$4,499	\$38,329	\$354,025	\$549,175	\$1,182	\$125,692	\$1,081,780
General Fund	\$2,476	\$658	\$1,588	\$13,528	\$124,950	\$193,826	\$417	\$44,362	\$381,805

#### Total Distributions by Account

The General Fund line includes amounts that are deposited to various accounts. These caps are calculated from deposits resulting from the excise tax for conducting pari-mutuel wagering on historical horse racing. Since these amounts are calculated as a whole and not per licensee, a one-time transfer will be made once the caps are met within the fiscal year. At the end of the fiscal year, approximately \$2M must be deducted from this line in order to determine the actual amount retained by the General Fund. Please contact the Department of Revenue with any questions regarding these transfers.

Total Excise Tax	\$88,406,564
Equine Drug Research	\$51,090
Equine Industry Program	\$73,236
Higher Education Program	\$51,090
K. Thoroughbred Development Fund	\$28,011,654
K. Standardbred Development Fund	\$9,067,808
K. Quarter Horse Development Fund	\$2,291
Host Track - Purses	\$1,081,780
Host Tracks	\$1,081,780
General Fund (See Note on Caps)	\$48,985,836
Backside Improvement Fund	\$153,261

## **SPORTS WAGERING DIVISION**

## Kentucky Sports Wagering Report

## Online - September 2023

				Name of Operator	/ Service Provider			
	Cumberland Run	mberland Run Ellis Park Oak Grove Red Mile Sandy's Turfway Park						
	DraftKings	ESPNbet	Fanatics	Caesars	bet365	BetMGM	Fanduel	Grand Total
Handle	\$21,459,075	\$316,162	\$362,240	\$1,353,086	\$3,393,404	\$1,917,860	\$19,276,040	\$48,077,866
Wagers Settled	\$15,457,063	\$266,347	\$269,166	\$1,141,717	\$2,629,122	\$1,574,491	\$15,149,284	\$36,487,190
Winnings	\$15,209,701	\$259,569	\$272,642	\$1,059,378	\$1,963,788	\$1,203,682	\$14,932,324	\$34,901,084
Adjusted Gross Revenue	\$223,079	\$5,988	(\$4,334)	\$79,824	\$663,942	\$369,416	\$195,140	\$1,533,054
Kentucky Excise Tax (Online)	\$31,789	\$853	\$0	\$11,375	\$94,612	\$52,642	\$27,807	\$218,460

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## Retail - September 2023

	Churchill Downs	Cumberland Run	Ellis Park	Oak Grove	Red Mile	Turfway Park	Grand Total
	Kambi	DraftKings	Kambi	Kambi	Caesars	Kambi	
Handle	\$3,358,845	\$488,640	\$104,987	\$499,380	\$4,015,846	\$1,270,561	\$9,738,259
Wagers Settled	\$3,270,926	\$475,288	\$102,685	\$469,290	\$3,860,192	\$1,199,971	\$9,378,351
Winnings	\$2,885,824	\$478,864	\$74,746	\$413,488	\$3,414,920	\$1,059,228	\$8,327,070
Adjusted Gross Revenue	\$376,730	(\$4,763)	\$27,677	\$54,554	\$435,621	\$137,583	\$1,027,401
Kentucky Excise Tax (Retail)	\$36,731	\$0	\$2,698	\$5,319	\$42,473	\$13,414	\$100,172

#### Glossarv

Handle is the total amount wagered for the reporting period, including future bets.

Wagers Settled includes both wagers paid out and wagers resolved for the reporting period.

Winnings is the amount returned to winning players for the reporting period.

Adjusted Gross Revenue (AGR) is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law.

Kentucky Excise Tax is tax paid to the state, calculated from AGR at the rate of 9.75% for wagers placed at retail locations and 14.25% for online wagering.

Data is provided for informational purposes and is subject to change. For more information on tax data, please contact the Department of Revenue. https://taxanswers.ky.gov/Sales-and-Excise-Taxes/Pages/Sports-Wagering-.aspx

<sup>\*\*</sup>Penn Sports is now marketed as ESPNbet.

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## Online - October 2023

				Name of Operator	/ Service Provider			
	Cumberland Run	Ellis Park	Oak Grove	Red Mile	Sand	y's	Turfway Park	Grand Total
	DraftKings	ESPNbet	Fanatics	Caesars	bet365	BetMGM	Fanduel	Grand Total
Handle	\$105,714,081	\$2,622,865	\$4,325,477	\$12,859,311	\$28,326,589	\$12,794,612	\$113,191,997	\$279,834,932
Wagers Settled	\$109,480,551	\$2,655,937	\$4,365,599	\$12,882,103	\$28,598,614	\$12,932,965	\$116,206,464	\$287,122,233
Winnings	\$90,002,719	\$2,271,441	\$3,867,965	\$10,784,493	\$20,649,085	\$10,533,737	\$95,598,577	\$233,708,017
Adjusted Gross Revenue	\$19,262,462	\$377,941	\$487,344	\$2,070,396	\$7,920,599	\$2,370,298	\$20,390,624	\$52,879,664
Kentucky Excise Tax (Online)	\$2,744,901	\$53,857	\$69,447	\$295,031	\$1,128,685	\$337,767	\$2,905,664	\$7,535,352

## Retail - October 2023

	Churchill Downs	Cumberland Run	Ellis Park	Oak Grove	Red Mile	Sandy's	Turfway Park	Grand Total
	Kambi	DraftKings	Kambi	Kambi	Caesars	BetMGM	Kambi	
Handle	\$2,989,582	\$351,753	\$139,004	\$652,845	\$2,838,569	\$60,151	\$1,109,598	\$8,141,502
Wagers Settled	\$2,995,767	\$358,498	\$139,841	\$643,169	\$2,922,056	\$59,388	\$1,158,214	\$8,276,932
Winnings	\$2,622,578	\$279,485	\$135,532	\$587,464	\$2,383,740	\$44,536	\$1,044,849	\$7,098,183
Adjusted Gross Revenue	\$365,722	\$78,117	\$3,962	\$54,078	\$531,011	\$14,852	\$110,576	\$1,158,318
Kentucky Excise Tax (Retail)	\$35,658	\$7,616	\$386	\$5,273	\$51,774	\$1,448	\$10,781	\$112,936

#### Glossary:

Handle is the total amount wagered for the reporting period, including future bets.

Wagers Settled includes both wagers paid out and wagers resolved for the reporting period.

Winnings is the amount returned to winning players for the reporting period.

Adjusted Gross Revenue (AGR) is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law.

Kentucky Excise Tax is tax paid to the state, calculated from AGR at the rate of 9.75% for wagers placed at retail locations and 14.25% for online wagering.

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<sup>\*\*</sup>Penn Sports is now marketed as ESPNbet.

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Name of Operator / Service Provider

## Online - November 2023

	or oberate.	/			
	Red Mile	Sandy	Turfway Park	Grand Total	
ics	Caesars	bet365	BetMGM	Fanduel	Grand Total
67	\$14,950,556	\$18,195,436	\$12,415,481	\$101,976,465	\$272,524,016
93	\$14 919 489	\$18 334 804	\$12,406,340	\$101 458 782	\$271 567 540

						, -		Grand Total
	DraftKings	ESPNbet	Fanatics	Caesars	bet365	BetMGM	Fanduel	Grand Total
Handle	\$101,927,829	\$17,604,082	\$5,454,167	\$14,950,556	\$18,195,436	\$12,415,481	\$101,976,465	\$272,524,016
Wagers Settled	\$101,724,518	\$17,283,415	\$5,440,193	\$14,919,489	\$18,334,804	\$12,406,340	\$101,458,782	\$271,567,540
Winnings	\$93,261,488	\$14,510,884	\$5,150,238	\$14,158,806	\$15,940,031	\$11,360,283	\$95,427,474	\$249,809,203
Adjusted Gross Revenue	\$8,227,431	\$2,728,520	\$276,742	\$725,541	\$2,359,397	\$1,018,595	\$5,792,432	\$21,128,657
Kentucky Excise Tax (Online)	\$1,172,409	\$388,814	\$39,436	\$103,390	\$336,214	\$145,150	\$825,422	\$3,010,834

Oak Grove

## Retail - November 2023

	Churchill Downs Kambi	Cumberland Run DraftKings	Ellis Park Kambi	<b>Oak Grove</b> Kambi	Red Mile Caesars	Sandy's BetMGM	Turfway Park Kambi	Grand Total
Handle	\$3,690,696	\$333,015	\$442,239	\$944,492	\$2,480,389	\$413,421	\$1,685,691	\$9,989,944
Wagers Settled	\$3,675,207	\$333,762	\$437,083	\$934,986	\$2,422,557	\$411,039	\$1,673,083	\$9,887,716
Winnings	\$3,783,550	\$328,056	\$435,926	\$852,597	\$2,229,331	\$184,772	\$1,597,594	\$9,411,826
Adjusted Gross Revenue	(\$117,548)	\$4,874	\$47	\$80,029	\$187,169	\$226,267	\$71,288	\$452,126
Kentucky Excise Tax (Retail)	\$0	\$475	\$5	\$7,803	\$18,249	\$22,061	\$6,951	\$44,082

Handle is the total amount wagered for the reporting period, including future bets.

Wagers Settled includes both wagers paid out and wagers resolved for the reporting period.

Cumberland Run Ellis Park

Winnings is the amount returned to winning players for the reporting period.

Adjusted Gross Revenue (AGR) is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law. Kentucky Excise Tax is tax paid to the state, calculated from AGR at the rate of 9.75% for wagers placed at retail locations and 14.25% for online wagering.

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## Online - December 2023

		Name of Operator / Service Provider									
	Cumberland Run										
	DraftKings	ESPNbet	Fanatics	Caesars	bet365	BetMGM	Fanduel	Grand Total			
Handle	\$98,494,781	\$19,041,402	\$5,593,800	\$14,140,031	\$15,783,029	\$9,291,421	\$92,958,694	\$255,303,158			
Wagers Settled	\$98,178,592	\$19,079,584	\$5,598,047	\$14,056,117	\$15,866,782	\$9,335,630	\$92,979,695	\$255,094,446			
Winnings	\$86,477,572	\$16,759,720	\$5,153,352	\$12,811,008	\$14,243,294	\$8,450,626	\$79,445,037	\$223,340,610			
Adjusted Gross Revenue	\$11,466,376	\$2,272,261	\$431,126	\$1,210,613	\$1,588,644	\$862,525	\$13,309,356	\$31,140,902			
Kentucky Excise Tax (Online)	\$1,633,959	\$323,797	\$61,435	\$172,512	\$226,382	\$122,910	\$1,896,583	\$4,437,578			

## Retail - December 2023

	Churchill Downs	Cumberland Run	Ellis Park	Oak Grove	Red Mile	Sandy's	Turfway Park	Grand Total
	Kambi	DraftKings	Kambi	Kambi	Caesars	BetMGM	Kambi	
Handle	\$3,433,615	\$313,632	\$70,923	\$712,937	\$2,150,147	\$414,537	\$1,664,693	\$8,760,486
Wagers Settled	\$3,422,685	\$311,337	\$68,383	\$730,574	\$2,171,178	\$405,102	\$1,658,087	\$8,767,347
Winnings	\$3,145,062	\$295,072	\$49,197	\$640,518	\$2,031,741	\$195,088	\$1,510,663	\$7,867,341
Adjusted Gross Revenue	\$268,903	\$15,481	\$19,009	\$88,275	\$134,009	\$210,014	\$143,262	\$878,953
Kentucky Excise Tax (Retail)	\$26,218	\$1,509	\$1,853	\$8,607	\$13,066	\$20,476	\$13,968	\$85,698

#### Glossarv

Handle is the total amount wagered for the reporting period, including future bets.

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Adjusted Gross Revenue (AGR) is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law.

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<sup>\*\*</sup>Penn Sports is now marketed as ESPNbet.

**Total Fiscal Year-to-Date** 

September 2023 - December 2023

Online

Name of Operator / Service Provider

				manne or operator	/			
	Cumberland Run	Ellis Park	Oak Grove	Red Mile	Sandy <sup>4</sup>	s	Turfway Park	Grand Total
	DraftKings	ESPNbet	Fanatics	Caesars	bet365	BetMGM	Fanduel	Grand Total
Handle	\$327,595,765	\$39,584,511	\$15,735,684	\$43,302,985	\$65,698,459	\$36,419,373	\$327,403,195	\$855,739,972
Wagers Settled	\$324,840,723	\$39,285,283	\$15,673,004	\$42,999,425	\$65,429,323	\$36,249,427	\$325,794,224	\$850,271,409
Winnings	\$284,951,480	\$33,801,615	\$14,444,196	\$38,813,685	\$52,796,198	\$31,548,328	\$285,403,412	\$741,758,914
Adjusted Gross Revenue	\$39,179,348	\$5,384,709	\$1,190,878	\$4,086,374	\$12,532,581	\$4,620,834	\$39,687,552	\$106,682,277
Kentucky Excise Tax (Online)	\$5,583,057	\$767,321	\$169,700	\$582,308	\$1,785,893	\$658,469	\$5,655,476	\$15,202,224

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## Retail

	Churchill Downs	Cumberland Run	Ellis Park	Oak Grove	Red Mile	Sandy's	Turfway Park	Grand Total
	Kambi	DraftKings	Kambi	Kambi	Caesars	BetMGM	Kambi	Grand Total
Handle	\$13,472,738	\$1,487,041	\$757,154	\$2,809,654	\$11,484,952	\$888,109	\$5,730,543	\$36,630,190
Wagers Settled	\$13,364,584	\$1,478,885	\$747,992	\$2,778,019	\$11,375,982	\$875,529	\$5,689,354	\$36,310,345
Winnings	\$12,437,013	\$1,381,476	\$695,401	\$2,494,067	\$10,059,733	\$424,396	\$5,212,335	\$32,704,420
Adjusted Gross Revenue	\$893,806	\$93,709	\$50,695	\$276,936	\$1,287,810	\$451,133	\$462,709	\$3,516,798
Kentucky Excise Tax (Retail)	\$87,146	\$9,137	\$4,943	\$27,001	\$125,561	\$43,985	\$45,114	\$342,888

#### Glossary:

Handle is the total amount wagered for the reporting period, including future bets.

Wagers Settled includes both wagers paid out and wagers resolved for the reporting period.

Winnings is the amount returned to winning players for the reporting period.

Adjusted Gross Revenue (AGR) is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law.

Kentucky Excise Tax is tax paid to the state, calculated from AGR at the rate of 9.75% for wagers placed at retail locations and 14.25% for online wagering.

Data is provided for informational purposes and is subject to change. For more information on tax data, please contact the Department of Revenue.  $\frac{\text{https://taxanswers.ky.gov/Sales-and-Excise-Taxes/Pages/Sports-Wagering-.aspx}}{\text{https://taxanswers.ky.gov/Sales-and-Excise-Taxes/Pages/Sports-Wagering-.aspx}}$ 

<sup>\*\*</sup>Penn Sports is now marketed as ESPNbet.